



LEARNING OBJECTIVES

Session 1: The Stat Model: Improving Risk Management in Operations

- Participants will learn about the effort by the U.S. Government Accountability Office (GAO) Chief Administrative Office to improve internal control and enterprise risk management among GAO's administrative units (Human Capital, Field Operations, Security, etc.) through the Stat model.
- Participants will also gain an understanding of GAO's mission support offices' use of the Stat model.
- The speaker will also share the Stat model's experience of the four benefits of data driven reviews, which GAO notes in a 2015 report entitled, "Managing for Results." These four benefits – progress toward goals, collaboration, accountability for progress, and improving operational efficiency – have the potential to enhance internal control and enterprise risk management.

Session 2: Keeping the Lights On: A Perspective on Cybersecurity Threats and Mitigations from the Electric Grid

- The purpose of this session is to help participants understand recent cyber threats, including how and why they take place.
- The speaker will also review threat mitigation strategies and controls.
- Finally, participants will learn about the critical need and risks of information sharing in today's environment.

Session 3: Auditing Cybersecurity Through Risk Management

- Participants will learn about how the federal government faces an ever-evolving array of cyber-based threats to its systems and information.
- This session will present information about federal systems and networks that are inherently at risk because of their complexity, technological diversity, and geographic dispersion, among other reasons. For example, GAO has designated the protection of federal information systems as a government-wide high-risk area for over 20 years.
- The speaker will discuss trends in cybersecurity within the federal government and how to evaluate its effectiveness through a risk-focused audit approach.

Session 4: Keynote Topic on Leadership

- Participants will learn about the use of any leadership style to continuously improve.
- The speaker will cover how to apply leadership to any level of the organization.
- This session will also stimulate ideas for better and expanded leadership through examples on continuously improving.



Session 5: Followership

- Participants will learn how to define courageous followership in a group setting.
- Participants will gain knowledge about the need for effective followers.
- Finally, participants will be able to identify key followership behaviors in the workplace.

Session 6: Data Analytics and Improper Payments

- This session will cover a basic understanding of the function and power of data analytics to discover specific types of improper payments within various government programs.
- The speaker will introduce and assess some of the data analytics tools and software packages available in both the open-source and licensed-software spheres.
- Participants will hear about some of the core data analytics testing procedures, methods, and considerations and provide examples of each.

Session 7: Five Moral Theories-Ethics for Work

- Participants will learn to identify ethical trips in the context of the work environment.
- Participants will gain information about overcoming the temptation to make bad decisions when it comes to morality and ethics.
- The speaker will also discuss how to make good ethical decisions in the right way for work.

Session 8: Challenges Facing the Inspector General Community

- Participants will hear about issues and trends currently being discussed by Federal audit executives related to the audit workforce: professional development, needed competencies and skills, succession planning, and employee engagement.
- The presentation will also cover specific human resource initiatives undertaken by the U.S. Department of Education Office of Inspector General to promote enhanced communications among and between managers and audit and investigations professionals to fully engage them in the operations of the audit organization.
- Participants will also learn about challenges facing auditors at the National Science Foundation and the federal audit community in general.

Session 9: Open Innovation—Public Private Partnerships

The objectives for this presentation are to inform the audience about:

- Types and characteristics of Public-Private Partnerships;
- Advantages and disadvantages of a P3 approach;
- Key elements of successful P3s; and
- Managing P3 risk from an audit perspective.



Session 10: Evaluating Audit Performance

In our audit organizations, we often wonder what a successful audit shop looks like. How do we know that we are doing the best job possible with the limited resources at our disposal? Our desire is to perform audits with results that bring about changes with lasting impact. This session will:

- Discuss and share audit office best practices in developing and implementing a framework of performance measures, objectives and goals;
- Provide real life examples of how to assess the impact of our audits and determine how to best broaden that impact; and
- Understand how different audit shops are achieving success in their audits and helping to improve the organizations they serve.

Session 11: Improving Team Dynamics—Learning Communication and Conflict Resolution Skills

- Participants will learn about communicating effectively with our peers, our subordinates, our managers, and with those that we audit is essential for successful outcomes.
- The speaker will also discuss ineffective communication approaches and how that can derail even the best intentions.
- Participants will be able to develop strategies for utilizing communication skills for improved workplace environments, enhanced conflict resolution outcomes, and all-important mission accomplishment goals.

Session 12: Challenges for Auditors in Access to Information

- Participants will be able to discuss examples of auditee resistance to auditor access.
- Participants will also learn about state and local law impacting the right to audit Denver and other government entities.
- The speaker will also explore strategies and practices for gaining auditee acceptance.

Session 13: Risk Informed Work Planning—Identifying the Unknown Unknowns at DHS

- Participants will be able to explain the value proposition for a risk-informed annual plan.
- This session will also discuss how the U.S. Department of Homeland Security, Office of Inspector General, is working to build the foundation at DHS-OIG for accomplishing this (focusing in on the Risk Assessment Division).