
FINAL AGENDA

Day 1: Monday, May 20th

Location: Mount Hood

7:00 - 8:00 **REGISTRATION and CONTINENTAL BREAKFAST**
Location: *Mount Hood*

8:00 – 8:20 **Welcome and Opening Remarks**
Kip Memmott, Chair, PNIAF; Audits Director, Audits Division, Oregon Secretary of State

Setting the stage with the theme: Integrity, Impact, and Innovation

8:20 – 9:10 **Keynote Speaker**
Kate Siggerud, Chief Operating Officer, U.S. Government Accountability Office
Moderator: Kip Memmott, Chair, PNIAF; Audits Director, Audits Division, Oregon Secretary of State

50 min

Learning Objectives

This session will highlight the importance of auditors and the work they do for governments, policy makers, and the public. From large agencies like GAO to the single person audit shop, integrity, impact, and innovation are a guide to best practices for audit organizations. After the session, attendees will be able to:

- 1. Understand how integrity and independent fact-based reporting are pivotal aspects of an auditor's work*
- 2. Identify ways auditors may report their impact to their stakeholders, policy-makers, and the public*
- 3. Recognize ways for innovation to become a part of your work and develop strategies to embrace programs and processes to support innovation in your audit shop*

9:10 – 9:15 **Networking and Break**

9:15 – 10:30 **Maintaining Audit Integrity in a Time of Increasing Threats to the Audit Community**

75 min

Mary Hull Caballero, Elected Auditor, City of Portland
Kip Memmott, Audits Director, Audits Division, Oregon Secretary of State
Moderator: Simone Rede, Sr. Management Auditor, Metro Regional Government Auditor's Office

Learning Objectives

This session will discuss how to identify and strategically navigate external and internal threats to public sector audit functions. Key concepts to be presented include strategies for securing adequate audit resources, maintaining independence, using innovative audit approaches to demonstrate audit impact and value to diverse stakeholders, communicating audit results, and engaging with citizens, community groups, and the media. After this session, attendees will be able to:

- 1. Identify areas and types of political risk, including key stakeholders and threats hiding in plain sight*
- 2. Develop strategies to promote audit independence and impact in political settings*
- 3. Use auditing standards to support and uphold the integrity of audit products*
- 4. Develop effective communication strategies to publicize audit results from a citizen centric perspective*

10:30 – 10:45 **Networking and Break**

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10:45 – 12:00

75 min

Tribal Issues in Auditing

Laura John, City of Portland Tribal Liaison

Craig Bill, Washington State Indian Affairs Director

Anne-Marie Fennell, Director, Natural Resources and Environment, U.S. Government Accountability Office

Anna Maria Ortiz, Acting Director, Natural Resources and Environment, U.S. Government Accountability Office

Moderator: Kari Guy, Audit Services Director, Portland City Auditor

Learning Objectives

This session will discuss the relationships between tribes and local, state, and federal governments, and how we as auditors can frame our work in a respectful and equitable way. After this session, attendees will be able to:

1. Understand the relationships between tribes and local, state, and federal governments
2. Recognize the unique needs of tribes as both stakeholders and audited entities
3. Identify appropriate methods for including tribal interests in audit work

12:00-1:00

Lunch

Location: *Mount Hood*

1:00 – 1:50

50 min

Novel Methodologies to Identify Potential Duplication, Overlap, or Fragmentation

Nathan Anderson, Acting Director, Homeland Security and Justice, U.S. Government Accountability Office

Andrew Curry, Sr. Analyst, Homeland Security and Justice, U.S. Government Accountability Office

Moderator: Kaitlin Dunn, Analyst, Health Care, U.S. Government Accountability Office

Learning Objectives

Various novel methodologies including network analysis can be used to identify and determine the extent of duplication, overlap or fragmentation of government programs. After this session, attendees will be able to:

1. Determine appropriate methodologies to identify potential duplication, overlap, or fragmentation of government programs
2. Use a novel methodology described in the session to design and conduct an audit

1:50 – 2:00

Networking and Break

2:00 – 2:50

50 min

Concurrent Sessions

Causal Mapping for Auditors: Can AI Help?

Location: *Mount Hood*

Yves Genest, Vice-President of Products and Services, Canadian Audit & Accountability Foundation

Moderator: Suzanne Smith, Assistant Manager, Office of the Auditor General of British Columbia

Learning Objectives

This session will:

1. Increase the understanding of relevance and

Local Ecosystem Restoration

Location: *Pearl*

Melanie Stidham, Research Analyst, Joint Legislative Audit and Review Committee

Heather Dowey, Sr. Analyst, Natural Resources and Environment, U.S. Government Accountability Office

Josh Wiener, Sr. Analyst, Natural Resources and Environment, U.S. Government Accountability Office

Karen (Jack) Granberg, Analyst, Natural Resources and Environment, U.S.

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- applicability of root-cause analysis for audits*
2. *Explain how Deep Learning, a specialized form of AI, operates and can be applied in the context of audits*
 3. *Identify the strengths and limitations of Deep Learning, using the example of a prototype causal mapping algorithm applied to audit information*

Government Accountability Office
Moderator: Arushi Kumar, Sr. Analyst, Health Care, U.S. Government Accountability Office

Learning Objectives

In this session, participants will learn about restoration efforts for local ecosystems, including the roles of federal agencies, and state, local, and tribal governments in restoring the ecosystem of a national estuary, and who ultimately pays for ecosystem restoration.

2:50 – 3:00

Networking and Break

3:00 – 3:50

50 min

Scoping Effective Audits

Virginia Garcia, Assistant City Auditor, City of Seattle

Suzanna Pratt, Research Analyst, Joint Legislative Audit and Review Committee

Suzanne Smith, Assistant Manager, Office of the Auditor General of British Columbia

Tim Bushfield, Assistant Director, Health Care, U.S. Government Accountability Office

Moderator: Jessica Schafer, Office of the Auditor General of British Columbia

Learning Objectives

Through this panel session, attendees will:

1. *Increase their understanding of the decision criteria used for scoping audits;*
2. *Gain insight into the benefits and challenges of scoping both large and small audits; and*
3. *Learn techniques of converting vague study assignments or topic areas into auditable study questions.*

3:50 – 4:00

Networking and Break

4:00 – 4:50

50 min

Engaging Stakeholders Throughout The Audit Process

Elizabeth Pape, Sr. Management Auditor, City of Portland

Lori Garretson, Sr. Performance Auditor, Office of the Washington State Auditor

Corey Crowley-Hall, Performance Auditor, Office of the Washington State Auditor

Moderator: Simone Rede, Sr. Management Auditor, Metro Regional Government Auditor's Office

Learning Objectives

Participants will learn about strategies for engaging stakeholders from multiple types of governments through examples of challenges faced and lessons learned during recent engagements. This includes how to engage stakeholders while planning audit objectives, providing updates during fieldwork, and when reporting audit results. In this session, presenters will also discuss the benefits and challenges of managing multiple stakeholder groups.

4:50 – 5:00

Day 1 Closing Remarks

Kip Memmott, Audits Chair, PNIAF; Director, Audits Division, Oregon Secretary of State

5:15 – 7:00

Reception

Location: *Truss*

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Day 2: Tuesday, May 21st

Location: *Mount Hood*

7:15 - 8:00 **Continental Breakfast**
Location: *Mount Hood*

8:00 – 9:15 **Implicit Bias: Understanding and Addressing Its Impact**
75 min **Dr. Markisha Smith**, Director, City of Portland Office of Equity and Human Rights
Moderator: Arushi Kumar, Sr. Analyst, Health Care, U.S. Government Accountability Office

Learning Objectives

In this session, attendees will

1. *Develop and/or expand on an understanding of implicit bias;*
2. *Consider ways in which implicit bias can impact your areas of work and responsibility; and*
3. *Commit to eliminating implicit bias at various stages of work.*

9:15 – 9:25 **Networking and Break**

9:25 – 10:15
50 min

Concurrent Sessions

Data Analytics in Audit - Strategies and Examples

Location: Mount Hood

Michelle Crawford, IT Audit Analyst, Office of the Auditor General of British Columbia

Jessica Schafer, Manager, Performance Audits, Office of the Auditor General of British Columbia

Moderator: Marc Rose, Sr. Management Auditor, Multnomah County

Learning Objectives

Governments are gathering more data on the services they provide to citizens, and the tools available to analyze that data are also growing. But governments haven't always taken advantage of available data as evidence to inform their policy and program decisions. Depending on the local legislation, audit offices may be in a privileged position to access and integrate data from different sources, allowing us to shed new light on government policies and programs. The first part of the session will provide insight into the British Columbia Auditor General's overall approach to data analytics. The second part of the session will use the example of an audit on commercial vehicle safety in British Columbia to give insight into using data analytics both for audit evidence and to stimulate and inspire change in government through innovative use of models. In this session, participants will learn about:

1. *New approaches to using data analytics within*

Excel Speed Tipping – 50 Tips in 50 Minutes

Location: Pearl

Tania Fleming, Principal Auditor, Office of the Washington State Auditor

Michael Huynh, Performance Auditor, Office of the Washington State Auditor

Moderator: Holland Kitchell, Performance Auditor, Office of the Washington State Auditor

Learning Objectives

Presenters will race the clock in tip battle to see how many quick tips they can fit in during one jam-packed session of "speed tipping." This is a fun and fast-paced session with useful tips that you can use immediately in your Excel work. Attendees will learn over 50 Excel tips (fingers crossed) on topics ranging from formulas, visualizing data, formatting and conditional formatting, mapping, dashboards, and retrieving and cleaning data. At the end of this session, attendees will be able to apply new techniques in Excel to analyze and visualize data.

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- performance audits;
2. A range of strategies used to integrate data analytics into work and ideas for future opportunities to use data in transformative ways
 3. Successes and challenges of these data analytics approaches that can help to apply these lessons to their own work.

10:15 – 10:30 **Networking and Break**

10:30 – 11:45 **Legal Controlled Substances and Public Health**

75 min

Bonnie Crawford, Sr. Auditor, Audits Division, Oregon Secretary of State

Kathy Davis, Staff Auditor, Audits Division, Oregon Secretary of State

Will Simerl, Assistant Director, Health Care, U.S. Government Accountability Office

Moderator: Ariana Denney, Staff Auditor, Audits Division, Oregon Secretary of State

Learning Objectives

Ineffective regulation of prescription drugs and marijuana can allow for unlawful activity while jeopardizing public health. How can agencies make better use of data and other regulatory elements to protect the public? This session will discuss reports on controlled substance use and the public health concerns at the state and federal levels. Presenters will discuss methods auditors can use to evaluate the effectiveness of regulatory frameworks. After this session, attendees will be able to:

1. Recognize public health risks associated with regulation of prescription drugs and marijuana
2. Identify potential criteria for regulating these controlled substances
3. Identify types of data that can be used to develop findings and ways agencies can make better use of data to monitor controlled substances

11:45 – 12:45 **Lunch**

Location: Mount Hood

PNIAF Executive Committee members will join program committee members in Pearl Room

12:45 – 1:35 **Writing Better Recommendations Now and for the Future**

50 min

Krystine McCants, Sr. Auditor, Audits Division, Oregon Secretary of State

Jessica Bryant-Bertail, Sr. Analyst, Physical Infrastructure, U.S. Government Accountability Office

Moderator: Mary Hull Caballero, Elected Auditor, City of Portland

Learning Objectives

Clear and achievable recommendations are critical to better management performance, so why do our best intentions sometimes return unintended consequences? This session will provide a framework for writing good recommendations that can be implemented and measured during audit follow-up. It will also provide guidance on writing prospective recommendations when effects may materialize in the future, but existing evidence is mostly theoretical. After the presentation, attendees will be able to:

1. Apply the SMART framework to develop specific, measurable, achievable, relevant and timely recommendations;
2. Recognize pitfalls that can lead to unintended consequences for managers during implementation and auditors during follow-up;
3. Craft prospective recommendations to mitigate future risk; and
4. Understand how the elements of the finding (condition, criteria, cause, and effect) would likely differ for reports with prospective recommendations as compared to other reports.

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1:35-1:45 **Networking and Break**

1:45 – 2:35
50 min

Concurrent Sessions

Auditing Emergency Management

Location: Pearl

Caroline Zavitkovski, Sr.

Management Auditor,

Multnomah County

Elliot Shuford, Sr.

Management Auditor, Metro
Regional Government Auditor's
Office

Moderator: Marc Rose, Sr.
Management Auditor, Multnomah
County

Learning Objectives

Presenters will discuss two recent emergency management audits examining the 2017 Eagle Creek wildfire, among other topics. After this session, participants will:

1. *Better understand how emergency management relates to their organization;*
2. *Be familiar with local government roles in emergency management;*
3. *Know where to find industry recognized criteria; and*
4. *Gain new ideas for auditing emergency management.*

Different Approaches to Following Up on Audit Results

Location: Mount Hood

Aaron Cavin, Research Analyst,
Joint Legislative Audit and
Review Committee

Amanda Eadrick, Research
Analyst, Joint Legislative Audit
and Review Committee

Laura Pierce, Sr. Manager,
Office of the Auditor General of
British Columbia

Jill Evancho Verret, Assistant
Director, Audit Policy and Quality
Assurance, U.S. Government
Accountability Office

Moderator: Melanie Stidham,
Research Analyst, Joint Legislative
Audit and Review Committee

Learning Objectives

In this session, presenters will explore different ways to follow up on audit results. Attendees will learn about the strengths and weaknesses of different approaches and the lessons learned, and consider the applicability of different models to their own audit office. This session will also foster interaction between offices to further conversation about follow up reports outside of the session.

Managers Roundtable Location: Hawthorne-Belmont

Meeting between executive committee members, heads of audit organizations, and other high level officials.

2:35 – 2:45 **Networking and Break**

2:45 – 3:35
50 min

Impact Through the Audit Stages

Casey Kopcho, Principal Auditor, Audits Division, Oregon Secretary of State,

Danielle Moreau, Sr. Auditor, Audits Division, Oregon Secretary of State,

Laura Fosmire, Communications Specialist, Audits Division, Oregon Secretary of State

Moderator: Krystine McCants, Sr. Auditor, Audits Division, Oregon Secretary of State

Learning Objectives

We audit to make a positive difference while contributing to public accountability. Throughout the audit process we have opportunities to increase our impact. This panel session will discuss how to identify areas to increase the impact of our work in the scoping, planning/fieldwork and reporting phases of the

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audit process. At the end of this session, participants should be able to:

1. *Identify impactful audit topics and build tools to reduce “scope creep” from less important topics*
2. *Compare the impact of releasing an audit with positive messaging to traditional audit closeout when there are no significant findings in planning or fieldwork*
3. *Develop reporting methods and strategies to increase audit impact*

3:35 – 3:45

Networking and Break

3:45 – 5:00

75 min

Social Justice Audits

Virginia Garcia, Assistant City Auditor, City of Seattle

Michael Huynh, Performance Auditor, Office of the Washington State Auditor

Jacqueline Nowicki, Director, Education, Workforce, and Income Security, U.S. Government Accountability Office

Amy Moran Lowe, Sr. Analyst, Education, Workforce, and Income Security, U.S. Government Accountability Office

Moderator: Jenna Noll, Performance Auditor, Office of the Washington State Auditor

Learning Objectives

This session will discuss audits conducted by federal, state, and local government auditors that reviewed social justice issues. Panelists will provide introductions and examples of social justice audits and then open up the session for Q&A. Panelists will address when it is appropriate to audit social justice issues, how they designed their audits, audit findings, and considerations for reporting on sensitive topics. After this session, attendees will be able to identify tools for planning social justice audits and strategies to overcome challenges related to these types of audits.

5:00 – 5:10

Closing Remarks

Kip Memmott, Chair, PNIAF; Audits Director, Audits Division, Oregon Secretary of State

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Thanks to the PNIAF Program Committee Members and Operations Staff

Ariana Denney, Staff Auditor, Audits Division, Oregon Secretary of State

Arushi Kumar, Senior Analyst, US Government Accountability Office

Bob Gillespie, Stauffer & Associates PLLC

Brian Evans, Metro Auditor

David Sheppard, Director, Seattle Regional Office, Office of the Inspector General, U.S. Department of Commerce

Holland Kitchell, Performance Auditor, Washington State Auditor

Jenna Noll, Performance Auditor, Washington State Auditor

Jennifer McGuirk, Multnomah County Auditor

Kaitlin Dunn, Analyst, US Government Accountability Office

Kari Guy, Audit Services Director, City of Portland Audit Services

Keenan Konopaski, Legislative Auditor, Joint Legislative Audit and Review Committee

Kevin Keates, Performance Audit Manager, Office of the Auditor General of British Columbia

Kip Memmott, Audits Director, Audits Division, Oregon Secretary of State

Krystine McCants, Senior Auditor, Audits Division, Oregon Secretary of State

KyMBER Waltmunson, King County Auditor

Lori Ahlstrand, Regional Inspector General for Audit Services, U.S. Department of Health and Human Services

Malcolm Gaston, Assistant Auditor General, Office of the Auditor General of British Columbia

Marc Rose, Principal Auditor, Multnomah County

Mary Hull Caballero, Portland City Auditor

Melanie Stidham, Research Analyst, Joint Legislative Audit and Review Committee

Nathaniel O'Brien, Executive Director, Pacific Northwest Intergovernmental Audit Forum, US Government Accountability Office

Scott Frank, Director of Performance and IT Audit, Washington State Auditor

Shauntice Murphy, Program Analyst, US Government Accountability Office

Simone Rede, Senior Management Auditor, Metro Auditor

Suzanne Smith, Assistant Manager, Office of the Auditor General of British Columbia

Tim Schindler, Assistant Director, US Government Accountability Office

Valerie Whitener, Deputy Legislative Auditor, Joint Legislative Audit and Review Committee

William Garber, Deputy Director, Audits Division, Oregon Secretary of State