



23rd

BIENNIAL FORUM
OF GOVERNMENT AUDITORS

July 28-30, 2020

*“Accountability in Challenging Times
& Building Auditor Resilience”*

TABLE OF CONTENTS

Agenda	3
Session Objectives	8
<i>Biennial Forum Welcome and Federal, State and Local Issues Briefing</i>	
<i>Keynote Address: Ensuring Confidence and Transparency in Pandemic Response Efforts</i>	
<i>Audit Collaboration: How Intergovernmental Collaboration Can Produce More Effective Audits</i>	
<i>Initial Approaches for Auditing the CARES Act</i>	
<i>Lessons Learned from Oversight of Troubled Asset Relief Program to Enable Effective Coronavirus Oversight</i>	
<i>A Conversation with the Inaugural Special Inspector General for Pandemic Recovery</i>	
<i>Preventing the Milk from Spilling: The High Value Impact Proposition of Real Time Auditing</i>	
<i>When are External Risk Assessments More Important Than Internal Risk Assessments?</i>	
<i>Auditing Efforts to Reduce/Eliminate Homelessness</i>	
<i>Recovery After the Crisis: Accelerating the Oversight Process while Maintaining Quality and Adhering to Government Auditing Standards</i>	
<i>Power of Persuasion Through Emotional Intelligence</i>	
<i>Blockchain: How Might Emerging Adoption of Distributed Ledgers Impact Auditors?</i>	
<i>Auditing Remotely and Ensuring Security of Data and Information (i.e., Cybersecurity)</i>	
<i>Keynote Address: The Need for Oversight for Artificial Intelligence</i>	
Biographies	12
Audit Forum Network 2020 Webinar Series	32
Appreciation	33



AGENDA

○ Day One: Tuesday, July 28, 2020

10:30-10:35 AM

● WELCOME TO THE VIRTUAL FORUM

James-Christian B. Blockwood, Managing Director, Strategic Planning and External Liaison, U.S. Government Accountability Office

Darreisha M. Bates, Domestic Relations Director, U.S. Government Accountability Office and Executive Director, National Intergovernmental Audit Forum

10:35-11:00 AM

● WELCOME TO THE 23RD BIENNIAL FORUM OF GOVERNMENT AUDITORS

Gene L. Dodaro, Comptroller General of the United States

11:00-11:20 AM

● FEDERAL, STATE AND LOCAL ISSUES BRIEFING

1 CPE

Michael E. Horowitz, Inspector General, U.S. Department of Justice and Chair, Council of The Inspectors General on Integrity and Efficiency

Daryl G. Purpera, Legislative Auditor, State of Louisiana

Larry Stafford, Audit Services Manager, Clark County Washington Auditor's Office and President, Association of Local Government Auditors

11:20-11:25 AM

● BREAK

11:25-12:15 PM

● KEYNOTE ADDRESS: ENSURING CONFIDENCE AND TRANSPARENCY IN PANDEMIC RESPONSE EFFORTS

1 CPE

Lori E. Lightfoot, Mayor of the City of Chicago, Illinois

Moderator: Joe Ferguson, Inspector General, City of Chicago, Illinois

12:15-1:00 PM

● BREAK

1:00-2:15 PM

● AUDIT COLLABORATION: HOW INTERGOVERNMENTAL COLLABORATION CAN PRODUCE MORE EFFECTIVE AUDITS

1.5 CPE

Suzanne M. Bump, State Auditor, Commonwealth of Massachusetts

Stephen J. Conway, Director of Data Analytics, Office of Audit Services, Office of Inspector General, U.S. Department of Health and Human Services

Ian Green, Audit Manager–Data Analytics, Audits Division, Oregon Secretary of State

Ryan Schaedig, Acting Director, Do Not Pay Business Center, Bureau of the Fiscal Service, U.S. Department of the Treasury

Moderator: Jim Nobles, Legislative Auditor, State of Minnesota

23RD BIENNIAL FORUM OF GOVERNMENT AUDITORS

Accountability in Challenging Times & Building Auditor Resilience



AGENDA

2:15-2:25 PM

● **BREAK**

2:25-3:40 PM

● **INITIAL APPROACHES FOR AUDITING THE CARES ACT**

1.5 CPE

Sandra Bruce, Acting Inspector General, U.S. Department of Education and Chair, Pandemic Response Accountability Committee's Subcommittee on GAO/State/Local Coordination

Elaine Howle, California State Auditor

Tasha Repp, Partner and National Practice Leader, Moss Adams

Larry Stafford, Audit Services Manager, Clark County Washington Auditor's Office and President, Association of Local Government Auditors

Moderator: R. Kinney Poynter, Executive Director, National Association of State Auditors, Comptrollers, and Treasurers

3:40-3:50 PM

● **BREAK**

3:50-4:40 PM

● **LESSONS LEARNED FROM OVERSIGHT OF TROUBLED ASSET RELIEF PROGRAM TO ENABLE EFFECTIVE CORONAVIRUS OVERSIGHT ***

1 CPE

Christy Goldsmith Romero, Special Inspector General for the Troubled Asset Relief Program, U.S. Department of the Treasury

Moderator: Orice Williams Brown, Managing Director, Congressional Relations, U.S. Government Accountability Office

4:40-4:45 PM

● **DAY ONE CLOSEOUT**

Darreisha M. Bates, Domestic Relations Director, U.S. Government Accountability Office and Executive Director, National Intergovernmental Audit Forum

● **Day Two: Wednesday, July 29, 2020**

10:30-10:40 AM

● **DAY TWO WELCOME**

10:40-11:30 AM

● **A CONVERSATION WITH THE INAUGURAL SPECIAL INSPECTOR GENERAL FOR PANDEMIC RECOVERY**

1 CPE

Brian D. Miller, Special Inspector General for Pandemic Recovery, U.S. Department of the Treasury

Moderator: Lawrence L. Evans, Jr., Managing Director, Applied Research and Methods, U.S. Government Accountability Office

11:30-11:40 AM

● **BREAK**

⋮

23RD BIENNIAL FORUM OF GOVERNMENT AUDITORS

Accountability in Challenging Times & Building Auditor Resilience



AGENDA

11:40-12:30 PM ● **PREVENTING THE MILK FROM SPILLING: HIGH VALUE IMPACT PROPOSITION OF REAL TIME AUDITING**

1 CPE

Kip Memmott, Audits Director, Oregon Secretary of State

Jamie Ralls, Chief Audit Executive, SAIF

Moderator: Pamela L. Weipert, Compliance Officer, Oakland County Michigan Compliance Office

12:30-12:40 PM ● **BREAK**

12:40-1:30 PM ● **WHEN ARE EXTERNAL RISK ASSESSMENTS MORE IMPORTANT THAN INTERNAL RISK ASSESSMENTS?**

1 CPE

Michael J. Binder, Director, Government Audit Training Institute and Federal Financial Management Programs, Graduate School USA

Doug Webster, Independent Consultant, former Chief Financial Officer, U.S. Department of Education

Moderator: Lisa R. Collier, First Assistant State Auditor, Texas State Auditor's Office

1:30-2:30 PM ● **BREAK**

2:30-3:20 PM ● **AUDITING EFFORTS TO REDUCE/ELIMINATE HOMELESSNESS**

1 CPE

Tina Kim, Deputy Comptroller for State Government Accountability, New York Office of the State Comptroller

Megan Ko, Senior Management Auditor, King County Washington Auditor's Office

Tanya Schulze, Regional Inspector General for Audit, U.S. Department of Housing and Urban Development, Office of Inspector General

Moderator: Alicia Puente Cackley, Director, Financial Markets and Community Investment, U.S. Government Accountability Office (GAO)

3:20-3:30 PM ● **BREAK**

3:30-4:20 PM ● **RECOVERY AFTER THE CRISIS: ACCELERATING THE OVERSIGHT PROCESS WHILE MAINTAINING QUALITY AND ADHERING TO GOVERNMENT AUDITING STANDARDS**

1 CPE

Jim Dalkin, Director, Financial Management and Assurance, U.S. Government Accountability Office

Moderator: Scot Loyd, Partner, Swindoll, Janzen, Hawk, & Loyd, LLC

⋮

23RD BIENNIAL FORUM OF GOVERNMENT AUDITORS
Accountability in Challenging Times & Building Auditor Resilience



AGENDA

4:20-4:30 PM

DAY TWO CLOSEOUT

Darreisha M. Bates, Domestic Relations Director, U.S. Government Accountability Office and Executive Director, National Intergovernmental Audit Forum

Day Three: Thursday, July 30, 2020

10:30-10:40 AM

DAY THREE WELCOME

10:40-11:55 AM

POWER OF PERSUASION THROUGH EMOTIONAL INTELLIGENCE

1.5 CPE

Michael J. Binder, Director, Government Audit Training Institute and Federal Financial Management Programs, Graduate School USA

Lise Valentine, Deputy Inspector General, Audit and Program Review, Office of Inspector General, City of Chicago, Illinois

Moderator: Lisa R. Collier, First Assistant State Auditor, Texas State Auditor's Office

11:55-12:10 PM

BREAK

12:10-1:00 PM

BLOCKCHAIN: HOW MIGHT EMERGING ADOPTION OF DISTRIBUTED LEDGERS IMPACT AUDITORS?

1 CPE

Craig Daniel Fischer, Innovation Program Manager, Bureau of the Fiscal Service, U.S. Department of the Treasury

Sharon Leu, Senior Policy Advisory, Higher Education Innovation, U.S. Department of Education

Andy Serfass, Senior Data Scientist, Innovation Lab, Science, Technology Assessment & Analytics, U.S. Government Accountability Office

Moderator: Taka Ariga, Chief Data Scientist, and Director, Innovation Lab, Science, Technology Assessment & Analytics, U.S. Government Accountability Office

1:00-2:00 PM

BREAK

⋮

23RD BIENNIAL FORUM OF GOVERNMENT AUDITORS

Accountability in Challenging Times & Building Auditor Resilience



AGENDA

2:00-3:15 PM

1.5 CPE

● **AUDITING REMOTELY AND ENSURING SECURITY OF DATA AND INFORMATION (I.E., CYBERSECURITY)**

Nick Marinos, Director, Cybersecurity and Data Protection Issues, Information Technology and Cybersecurity Team, U.S. Government Accountability Office

Tom Rohrback, Branch Chief, Information Assurance and Testing, U.S. Department of Homeland Security Office of Inspector General

Deborah Snyder, Senior Fellow, Center for Digital Government and Former Chief Information Security Officer for New York State

Moderator: Joe Ferguson, Inspector General, City of Chicago, Illinois

3:15-3:30 PM

● **BREAK**

3:30-4:30 PM

1 CPE

● **KEYNOTE ADDRESS: THE NEED FOR OVERSIGHT FOR ARTIFICIAL INTELLIGENCE**

Andrew Ng, Founder of deeplearning.ai; Founder and CEO of Landing AI; General Partner at AI Fund; Chairman and Co-Founder of Coursera; and an Adjunct Professor at Stanford University

Moderator: Ernie Almonte, Partner, RSM US LLP

4:30-4:40 PM

○ **CONFERENCE CLOSEOUT**

Darreisha M. Bates, Domestic Relations Director, U.S. Government Accountability Office and Executive Director, National Intergovernmental Audit Forum

* Session only available live and will not be available for streaming on demand.



SESSION OBJECTIVES

Biennial Forum Welcome and Federal, State and Local Issues Briefing

The 23rd Biennial Forum comes at a time when all of government is responding to the COVID-19 Pandemic. As a result, our inaugural virtual forum brings together a record turn-out from across the country and world. In this inaugural session, the Comptroller General of the United States provides opening remarks on this unprecedented time, followed by the federal, state, and local issues briefing featuring the Chair of the Council of the Inspectors General on Integrity and Efficiency, the President of the National State Auditors Association, and the President of Association of Local Government Auditors. Each will share topics of concern for their communities and help set the stage for our three day event.

Keynote Address: Ensuring Confidence and Transparency in Pandemic Response Efforts

On May 20, 2019, Lori E. Lightfoot became the 56th Mayor of the City of Chicago. One year later, she is in the midst of responding to the unprecedented COVID-19 crisis. In April 2020, she established a task force comprised of experts from a wide range of industries, regional government leaders, community-based partners and policymakers with the responsibility to advise the City of Chicago on its recovery efforts. Although cities are slowly starting to reopen, Mayor Lightfoot has been staunch in her approach to ensuring the safety of her residents and the city's full recovery. She is quoted saying, "Some of you have joked that I'm like the mom who will turn the car around if you're acting up. No, friends. It's actually worse. I won't just turn the car around. I'm going to shut it off, I'm going to kick you out and I'm going to make you walk home. That's who I am. That's who I must be." The pandemic has shown the importance of independent oversight and reinforced the necessity of fact-based civic discourse and policymaking. Mayor Lightfoot will share her views on ensuring confidence and transparency in pandemic response and recovery programs. She will also provide her perspective on leadership challenges in a time when collaboration, coordination, communication, and change are paramount. Following her remarks, Mayor Lightfoot will take questions from the attendees.

Audit Collaboration: How Intergovernmental Collaboration Can Produce More Effective Audits

While audit offices must protect their independence, they must also find appropriate ways to collaborate across jurisdictional boundaries. Two of the most important—but sometimes difficult—boundaries to cross are the lines between federal and state and between auditors and agency management. In this session, two state audit offices will discuss their efforts to cross those boundaries to more effectively audit federal human service programs administered by their states. Representatives from Oregon Audits Division and U.S. Treasury will discuss how audits used the federal Do Not Pay System and collaborated with managers in Oregon state agencies to identify ineligible recipients of health and human service programs. A representative of the Massachusetts Office of State Audits will discuss efforts to obtain Medicaid data from CMS to use in auditing the state's Medicaid program.



SESSION OBJECTIVES

Initial Approaches for Auditing the CARES Act

The devastating economic impacts of the COVID-19 Pandemic have resulted in allocating an unprecedented amount of federal relief funds to governments, businesses, and citizens. These funds are rapidly being deployed resulting in substantive risks, including placing immense pressure on already inherently weak internal controls in government. The responsibility for auditors to provide transparency over these monies is critical and provides an excellent opportunity and need for auditors to communicate and collaborate. This esteemed panel, that includes audit leaders from the federal, state, tribal, and local government levels, will share insights and ideas for auditing risks related to the CARES Act and other emergency relief funding related to the COVID-19 Pandemic. After brief presentations, a question and answer session will be facilitated to allow for attendee participation and information sharing.

Lessons Learned from Oversight of Troubled Asset Relief Program to Enable Effective Coronavirus Oversight

The COVID-19 Pandemic has resulted in the largest federal stimulus program since the 2007 Global Financial Crisis and the Great Recession, affecting businesses; state, local, and tribal governments; hospitals; and individuals. In addition to the stimulus program, Congress created several oversight mechanisms in the CARES Act to supervise and safeguard these programs from fraud, waste, and abuse. The oversight programs were modeled after those established to oversee the Troubled Asset Relief Program (TARP) in 2008, including establishing a Special Inspector General. Twelve years later, the Special Inspector General for the Troubled Asset Relief Program (SIGTARP) continues to serve as the federal law enforcement agency and independent audit watchdog that targets financial institution crime and other fraud, waste and abuse related to TARP. In this session, join the Special Inspector General for the Trouble Asset Relief Program for a conversation about the work of the SIGTARP office, how office priorities have evolved over time, insights into similarities and differences between TARP and the coronavirus oversight, and advice for auditors working in this fast-paced audit environment.

A Conversation with the Inaugural Special Inspector General for Pandemic Recovery

The stimulus in the CARES Act represents the largest government stimulus program in U.S. history. Section 4018 of the CARES Act establishes a new office, the Special Inspector General for Pandemic Recovery (SIGPR), in the Department of the Treasury to oversee Treasury's programs related to the coronavirus stimulus programs. Under the Act, the office must: conduct audits and investigations of loans, loan guarantees, and other investments made by Treasury under this subtitle; perform audits and investigations of the management by Treasury of programs established under this subtitle; track the status and amounts of outstanding loans, loan guarantees, and other investments; gather information related to the recipients of loans, loan guarantees, or other investments; and record Treasury's justification for transactions under this subtitle. In this session, we sit down with the new SIGPR to discuss his role leading and shaping this new office, what from his past experiences can assist him with his new role and the opportunities it presents, and learn more about goals for the office and how it will conduct this important work.



SESSION OBJECTIVES

Preventing the Milk from Spilling: The High Value Impact Proposition of Real Time Auditing

Government auditors have been criticized for not providing timely information, particularly in terms of allocating public resources effectively and preventing poor outcomes. This is especially the case for large-scale government projects and expenditures. “Real-time” auditing focuses on evaluating front-end strategic planning, governance, service delivery processes, controls, and performance measurement frameworks before or at the onset of major funding, program, and policy implementations by government organizations. Using case examples to illustrate key points, this session will explore opportunities and techniques for deploying real-time auditing to enhance audit impact and value including possible applications for the CARES Act. The session will highlight the use of data analytics as a key method in the real-time auditing tool kit.

When are External Risk Assessments More Important Than Internal Risk Assessments?

Auditors are directed to perform extensive internal control assessments as prescribed by the Yellow Book, using the Control Standards of the Green Book and Enterprise Risk Management. However, the reaction of external force factors on organizations, as described by Newton’s laws of physics present far greater significant risks, which may be otherwise ignored. This session will explore the factors of external risk assessment using PESTLE analysis and how to help organizations adapt and respond. Think Titanic or COVID-19! The best internal control assessment would not have averted disaster, but external risk and opportunity assessments in organizations can help prepare for, mitigate, survive and prosper through change or crises. At the end of the session, attendees will be able to: apply the elements of critical thinking to deeply consider root causes and potential impacts, understand the constant external forces in a changing world and how to apply PESTLE analysis, and recognize the risks and opportunities we cannot we control, to better adapt, mitigate and prosper.

Auditing Efforts to Reduce/Eliminate Homelessness

Homelessness is a complex issue that has received increased attention nationwide. Almost 600,000 people in the United States experienced homelessness in 2019, an increase of approximately 15,000 since 2018. Despite numerous initiatives to assist individuals and provide services, the number of homeless remains high. Moreover, the risks to this population have been significantly exacerbated by the current COVID-19 crisis, as these services are often provided in congregate settings—which could facilitate the spread of infection—and many people who are homeless may be particularly vulnerable to the virus. This session will examine the current trends and risks in homelessness, the approach auditors at all levels of government are taking to tackle this complex issue, and the lessons learned from these efforts on reducing/eliminating homelessness.

Recovery After the Crisis: Accelerating the Oversight Process While Maintaining Quality and Adhering to Government Auditing Standards

The U.S. Government Accountability Office (GAO) revised the Yellow Book in 2018, and it is now in effect. This session will cover key changes and challenges with implementation. The presenter will also address newly issued Continuing Professional Education (CPE) guidance due to COVID-19 and the related audit risk areas.



SESSION OBJECTIVES

Power of Persuasion Through Emotional Intelligence

Managing positive and productive relationships during the course of audit work; directing, coaching or working with audit team members; dealing with stakeholders; managing your organization; testifying at hearings and engaging media are some of the everyday emotionally challenging and often confrontational components of our jobs. This session will examine developing emotional intelligent competencies and communication skills necessary to maintain control, be flexible and better connected with people in defusing angst and building positive, cooperative relationships for constructive problem solving and inspired action. At the end of the session, attendees will be able to: examine key characteristics of emotional intelligences as applied to auditing; maintain control, power and influence by managing challenging personalities and situations; and build strong working relationships through trust and confidence to overcome resistance to change and maximize personal leadership for buy-in and action.

Blockchain: How Might Emerging Adoption of Distributed Ledgers Impact Auditors?

While blockchain technology may have originated in the realm of cryptocurrencies, the concept of a distributed ledger is finding interesting use cases across public sector organizations. The panelists will discuss technology's current state as well as how features of distributed ledgers, such as transparency and immutability, are being leveraged to make meaningful improvements in compliance, oversight, and information sharing. For auditors, this could mean access to more timely, complete, and accurate data but also potentially poses new assurance challenges. The session will explore two different public sector use cases and discuss risks and rewards associated with deploying distributed ledger technology.

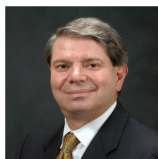
Auditing Remotely and Ensuring Security of Data and Information (i.e., Cybersecurity)

The coronavirus pandemic has accelerated the auditing profession's movement toward using remote techniques to perform engagements. Remote auditing has long been a subject of skepticism among audit organizations due to the belief that auditors may be more likely to discover fraud, waste, or abuse when they visit a site. However, the risk of missing findings is not the only concern that auditors working in a virtual environment must consider. All organizations that work remotely must also confront cybersecurity risks and employ appropriate mitigation. This session will explore risks of working in a virtual environment and steps auditors can take to mitigate those risks, including best practices for ensuring data and information protection.

Keynote Address: The Need for Oversight for Artificial Intelligence

As public sector officials across the country respond to the novel coronavirus, many are realizing a unique opportunity to rethink the new normal. With the pandemic, the digital transformation and use of frontier technologies like Artificial Intelligence (AI) and Machine Learning (ML) have accelerated. As the pandemic hastens advances in technology, accountability professionals have a great opportunity to bring their expertise to these new technologies, else risk being left behind. In such a landscape, how can accountability leaders ensure that their organizations understand the benefits and unintended consequences these AI/ML technologies bring? This session will explore what new horizons AI and ML are unlocking and the unintended harms these technologies can bring if unchecked and not audited.

BIOGRAPHIES



Gene L. Dodaro

Comptroller General of the United States and Chair, National Intergovernmental Audit Forum

Gene L. Dodaro became the eighth Comptroller General of the United States and head of the U.S. Government Accountability Office (GAO) on December 22, 2010, when he was confirmed by the United States Senate.

He was nominated by President Obama in September of 2010 from a list of candidates selected by a bipartisan, bicameral congressional commission.

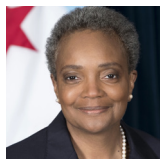
In a GAO career dating back more than 45 years, Mr. Dodaro has held a number of key executive posts. For 9 years, Mr. Dodaro served as the Chief Operating Officer, the number two leadership position at the agency, assisting the Comptroller General in providing direction and vision for GAO's diverse, multidisciplinary workforce.

Mr. Dodaro, who holds a bachelor's degree in accounting from Lycoming College in Williamsport, Pennsylvania, is a fellow of the National Academy of Public Administration and a member of the Association of Government Accountants.

Mr. Dodaro has received recognition from numerous outside organizations throughout his career, including the National Public Service Award from the American Society for Public Administration and the National Academy of Public Administration, the Roger W. Jones Award from American University; the Braden Award from the Department of Accountancy at Case Western Reserve University; The Katharine B. Gebbie Lifetime Achievement Award from the Arthur S. Flemming Commission and George Washington University.



BIOGRAPHIES



Lori E. Lightfoot

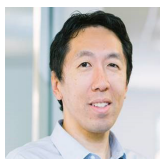
Mayor for the City of Chicago, Illinois

Lori E. Lightfoot is the 56th Mayor of Chicago. Since assuming office following her historic election, Mayor Lightfoot has undertaken an ambitious agenda of expanding opportunity and inclusive economic growth across Chicago's neighborhoods and communities, with early accomplishments including landmark ethics and good governance reforms, worker protection legislation, and closing a record \$838 million budget gap, as well as key investments in education, public safety and financial stability. Mayor Lightfoot also placed Chicago on the path to a \$15 minimum wage by 2021.

In response to the unprecedented COVID-19 crisis, Mayor Lightfoot has led a coordinated, citywide response across government, business, and community organizations to effectively address its spread and broader public impact. This response led to the creation of the Racial Equity Rapid Response Team, as well as the COVID-19 Recovery Task Force, among other actions.

Prior to her election, Mayor Lightfoot most recently served as a senior equity partner in the Litigation and Conflict Resolution Group at Mayer Brown. Previously, she served as President of the Chicago Police Board, as well as the Chair of the Police Accountability Task Force.

Mayor Lightfoot also served as Chief of Staff and General Counsel of the Chicago Office of Emergency Management and Communications, interim First Deputy of the Chicago Department of Procurement Services, Chief Administrator of the Office of Professional Standards, and as Assistant United States Attorney.



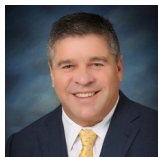
Andrew Ng

Andrew Ng, Founder of [deeplearning.ai](https://www.deeplearning.ai); Founder and CEO of Landing AI; General Partner at AI Fund; Chairman and Co-Founder of Coursera; and an Adjunct Professor at Stanford University

Andrew Ng is Founder of [deeplearning.ai](https://www.deeplearning.ai); Founder and CEO of Landing AI; General Partner at AI Fund; Chairman and Co-Founder of Coursera; and an Adjunct Professor at Stanford University. As a pioneer both in machine learning and online education, Ng has changed countless lives through his work and research in the field of artificial intelligence. He now focuses his time primarily on his entrepreneurial ventures, looking for the best ways to accelerate responsible AI practices in the larger global economy.



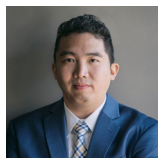
BIOGRAPHIES



Ernie Almonte

Partner, RSM US LLP

Ernie is a firm-designated government, not-for-profit, litigation support, and higher education specialist with more than 40 years of experience. Ernie works in the Boston Not-For-Profit/Public Sector Industry team. He has managed the audits of numerous public sector and not-for-profit organizations, and has provided technical assistance on accounting and financial reporting issues to these organizations. He is a leader on engagements working directly with Federal and State Government Leadership on compliance, audit, and consulting engagements. Ernie is a frequent speaker on industry related topics. Prior to joining RSM, Ernie spent 16 years as the R.I. Auditor General where he was responsible for the State of Rhode Island financial, performance and fraud audits. In that role, Ernie was responsible for the \$8 billion dollar CAFR, audit of the RI Pension System, insight and foresight on municipal pensions, and overseeing the audits of the quasi-public agencies and municipalities. Ernie provided training nationally on topics such as the Yellow Book, GASB and FASAB accounting standards, and fraud risks for federal, state and local professionals serving government, not-for-profit and higher education clients. He has served in numerous positions as Chairman of audit committees including universities and the Department of Defense Audit Advisory Committee at the Pentagon, and as a member of the Government Auditing Standards Committee for the Comptroller General of the United States.



Taka Ariga

Chief Data Scientist and Director, Innovation Lab, Science, Technology Assessment, and Analytics, U.S. Government Accountability Office

Taka Ariga is the first Chief Data Scientist appointed by the Comptroller General of the United States for the Government Accountability Office. He also leads GAO's newly established Innovation Lab in driving problem-centric experiments across audit and operational teams through novel use of advanced analytics and emerging technologies. As a member of the Senior Executive Service, Taka is also responsible for working with GAO stakeholders to adopt prospective views on oversight impacts of emerging capabilities such as AI, digital ledgers, RPA, 5G, and IoT. Taka is natively fluent in both Japanese and Mandarin Chinese. In his spare time, he is also a serious classical chamber musician and a competitive tennis player.



BIOGRAPHIES



Darreisha M. Bates

Director of Domestic Relations, Strategic Planning and External Liaison, U.S. Government Accountability Office and Executive Director, National Intergovernmental Audit Forum

Darreisha currently serves as Domestic Relations Director in the Strategic Planning and External Relations (SPEL) Office at the US Government Accountability Office (GAO). In this role, she advises GAO's top leadership on all aspects of domestic relations and manages relationships with the accountability community from federal, state, and local government as well as the private and academic sector. She accomplishes this through overseeing the management of the National and Regional Intergovernmental Audit Forums, and the Comptroller General's Domestic Working Group, Educator's Advisory Panel, and coordination with the Council of Inspectors General on Integrity and Efficiency. Darreisha joined SPEL in 2017 as Manager of a Fellowship program where she led the planning and execution of an intensive 4 month program that annually brings mid-senior level professionals from international institutions to undergo training in performance auditing and leadership. Prior to SPEL, she served as a professional staff member with the House Armed Services Committee. Before her time with the committee, Darreisha worked on a variety of defense issues as a senior analyst at GAO. Outside of GAO, she has also served as President of the Board of the American Association for Budget and Program Analysis and on the board of the International Consortium on Governmental Financial Management. Darreisha earned a Master's degree in public administration and a Bachelors in psychology from the University of Delaware.



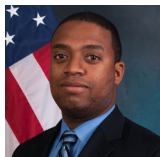
Michael J. Binder

Director, Government Audit Training Institute and Federal Financial Management Programs, Graduate School USA

Michael J. Binder, CIA, CFE is the Director of Graduate School USA's Financial Management Programs and the Government Auditing Training Institute. For over 42 years, he has served in federal audit, management and executive positions in the U.S. Government Accountability Office, the Inspector General community, and as Acting Inspector General of the National Endowment for the Arts. He has held key roles with the U.S. Environmental Protection Agency (EPA) Office of Inspector General (OIG), where he directed internal risk assessment, audit follow-up, audit and investigative planning, budget development and execution, legislative analysis, policy review, performance measurement, and financial reporting. He led the application of logic models and SWOT Analysis for audit planning and performance measurement in the OIG, for EPA and the federal IG community. He created the President's Council for Integrity and Efficiency (PCIE)—now CIGIE—Environmental Consortium and chaired the PCIE Government Performance and Results Act Committee. Michael has BBA and MBA degrees in Finance and Economics from the George Washington University and attended Harvard University, the Federal Law Enforcement Training Center, and the Federal Executive Institute. He is a former Association of Government Accountants national officer and has been an adjunct professor of management, finance, white collar crime, and auditing at institutions including the University of Maryland, George Mason University, University of Michigan, and Council of Inspectors General Training Academy. He was a principal contributor to developing the University of Maryland's White Collar Crime Certification curriculum.



BIOGRAPHIES



James-Christian B. Blockwood

Managing Director, Strategic Planning and External Liaison, U.S. Government Accountability Office

James-Christian Braxton Blockwood is the Managing Director of the Strategic Planning and External Liaison office. In this role, he helps GAO strategically plan for the future, drive agency-wide innovation and transformation, and strengthen the capacity of its domestic and international accountability partners. He also helped establish and oversees both the GAO Center for Audit Excellence and GAO Center for Strategic Foresight. Previously, he has served as a Director in the Office of Policy and Planning at the U.S. Department of Veterans Affairs, a Deputy Director in the Office of International Affairs at the U.S. Department of Homeland Security, and an Intelligence Officer at the U.S. Department of Defense. He earned an MBA and MA in Government from Johns Hopkins University, an MS of Strategic Intelligence from the National Defense Intelligence College, and a BA in International Relations from Tufts University. He is a career member of the Senior Executive Service, an inaugural Presidential Leadership Scholar, Fellow of the National Academy of Public Administration, and a Term Member of the Council on Foreign Relations.



Orice Williams Brown

Managing Director, Congressional Relations, U.S. Government Accountability Office

Ms. Brown has spent her career in public service at the Government Accountability Office (GAO) and is currently the Managing Director of Congressional Relations. She was formerly the Managing Director of GAO's Financial Markets and Community Investment Team. In addition to managing the Team, Ms. Brown was responsible for leading numerous engagements on a variety of cross-cutting public policy issues in the financial services industry. Her portfolio of work was concentrated in banking, securities, futures, and insurance issues. She has produced numerous reports and led a wide variety of efforts involving financial regulatory oversight, agency operations and management, consumer/investor protection, diversity management, flood insurance, and Treasury's Troubled Asset Relief Program. Previously, she co-led GAO's effort to identify duplication, overlap, and fragmentation and cost savings in government. Ms. Brown received an M.B.A. with a concentration in finance from Virginia Tech and B.S. degree in business (finance) from Virginia Commonwealth University. She has won numerous honors throughout her career, including the 2017 Comptroller General's Award and the 2013 Samuel J. Heyman Service to America Medal for Career Achievement for her decades of work overseeing financial regulators and serving as a trusted resource to Congress concerning financial markets and regulators. She is a National Academy of Public Administration fellow.



BIOGRAPHIES



Sandra Bruce

Acting Inspector General, U.S. Department of Education and Chair, Pandemic Response Accountability Committee's Subcommittee on GAO/State/Local Coordination

Sandra D. Bruce, Acting Inspector General of the U.S. Department of Education Office of Inspector General, is a results-oriented leader with more than 30 years of experience directing, overseeing, managing, and conducting complex audit, inspections, and investigative-related programs. Bruce joined the OIG in 2014 as Deputy Inspector General and has been leading the office since 2018. Prior to joining OIG, Bruce was Assistant Inspector General for Inspections at the U.S. Department of Energy, a position she also held at the National Geospatial-Intelligence Agency from 2006-2009. From 1997-2006, she was a leader with the U.S. Postal Service Office of Inspector General, directing its Law Enforcement and Postal Service Security unit and its Computer Assisted Audit Techniques unit. Bruce was also a Legislative Fellow for Senator Carl Levin and has received numerous awards for her work. She currently serves on the Pandemic Response Accountability Committee, represents the OIG on the CIGIE, and previously served on the CIGIE Deputy Inspector General Committee and Intelligence Community Inspector General's Assistant Inspector General for Inspections Working Group. Bruce earned her Master of Science in Information Systems from Hawaii Pacific University and her Bachelor of Science in Business Administration with a Concentration in Accounting from Fayetteville State University.



Suzanne M. Bump

State Auditor, Commonwealth of Massachusetts

Suzanne M. Bump, the 25th Auditor of the Commonwealth and the first woman to serve in this role, took office in 2011. She leads a 225-person workforce of auditors, fraud investigators, and researchers to improve government accountability and build trust in government. During her tenure, the Auditor's office has identified more than \$1.3 billion worth of inefficiencies, misspending, and fraud. The work of her office has led to changes that have both a human and financial impact within programs across the breadth of state and local government, including Medicaid, child welfare, public safety, public benefits, and education. Key to her results has been the recruitment of a talented and diverse workforce and the adoption of new technologies that improve operational effectiveness and the ability of her staff to identify root causes of government inefficiencies and failures. Bump is a member of the U.S. Comptroller General's Domestic Working Group. She serves on the executive committee of the National Association of State Auditors, Comptrollers and Treasurers and recently completed a term as president of the National State Auditor's Association. She also serves on several public boards, including the Inspector General Council, Municipal Finance Oversight Board, Public Employees Retirement Administration Commission and the Mass. Teachers Retirement System. She is a partner in the Women's Suffrage Celebration Coalition of Massachusetts and a member of the Massachusetts Women's Forum. Her prior government experience includes service as Secretary of Labor and Workforce Development and as a State Representative. Auditor Bump is a graduate of Boston College and Suffolk University School of Law.



BIOGRAPHIES



Alicia Puente Cackley

Director, Financial Markets and Community Investment, U.S. Government Accountability Office

Ms. Cackley is currently a Director of Financial Markets and Community Investment Issues for the U.S. Government Accountability Office (GAO) in Washington, D.C. The GAO is the investigative and research arm of the U.S. Congress. She is a member of the Senior Executive Service and is responsible for directing program evaluations and policy analysis studies related to consumer protection, insurance, housing and finance issues. She holds a Bachelor of Arts degree in Economics from the University of Notre Dame, and Master of Arts and Doctorate degrees in Economics from the University of Michigan. She has been with GAO since 1990.



Lisa R. Collier, CPA, CFE, CIDA

First Assistant State Auditor, State of Texas

Ms. Collier is the First Assistant State Auditor for the Texas State Auditor's Office. She joined the State Auditor's Office in 1997, has served as the First Assistant State Auditor since 2014, and has been the Acting State Auditor since January 2016. Ms. Collier leads a professional staff of approximately 200 auditors and support personnel with an annual budget of \$22 million. Ms. Collier is responsible for overseeing all work performed by the State Auditor's Office. She works with the Legislative Audit Committee, members of the Legislature, and executive management for state agencies and institutions of higher education. In her 23 years with the State Auditor's Office, Ms. Collier has worked on and led many types of audits, investigations, and special projects. Several of the assignments overseen by Ms. Collier have resulted in significant legislative changes. Additionally, she supervises and signs the auditor opinion for state agency financial statement audits including the State of Texas Comprehensive Annual Financial Report (CAFR), which reported a net position of \$99.5 billion for state fiscal year 2018. Prior to working at the State Auditor's Office, Ms. Collier worked as an accountant at various private sector companies in Texas and Oklahoma. She has a bachelor's degree in accounting from the University of Central Oklahoma. She is a Certified Public Accountant, Certified Fraud Examiner, Certified Investments and Derivatives Auditor, and a graduate of the 2010 Texas Fiscal Officers' Academy. In addition, Ms. Collier is the Vice-Chair for the National State Auditor's Association Peer Review Committee and Chair of the Executive Committee of the Southwest Intergovernmental Audit Forum.

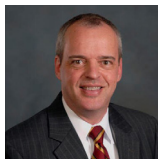


BIOGRAPHIES

Stephen J. Conway

Director for Data Analytics, U.S. Department of Health and Human Services Office of Inspector General

Stephen has been with the US Department of Health and Human Service, Office of Inspector General, Office of Audit Services for the past 34 years. Over his career, his responsibilities included conducting/managing performance audits of the Medicare and Medicaid programs, information technology audits of Federal and State systems, data analytics, investigative assists with the HHS-OIG-Office of Investigations and Department of Justice and is currently coordinating joint Federal/State audits of the Medicaid program. He laid the groundwork to establish the OIG's data warehouse bringing together for the first time Medicare and Medicaid claims data enabling cross-program data analytics. Stephen is the recipient of numerous recognitions and awards to include being a two-time recipient of the Inspector General's Bronze Medal for Outstanding Employee of the Year, the highest award for excellence. Stephen earned a Bachelor of Science in Accounting from Merrimack College, North Andover, Massachusetts followed by a Masters in Business Administration from Southern New Hampshire University, Manchester, New Hampshire. He is a member of the Executive Committee for the New England Intergovernmental Audit Forum.



Jim Dalkin

Director, Financial Management and Assurance, U.S. Government Accountability Office

James R Dalkin is a Director in the Financial Management and Assurance Team with the U.S. Government Accountability Office (GAO). Mr. Dalkin is responsible for the audits of the Securities Exchange Commission and the Statements of Social Insurance included in the financial report of the United States. In addition, he has responsibilities for revising the auditing standards (The Yellow Book) and GAO's work in the Accounting and Auditing Profession. Mr. Dalkin is a Certified Public Accountant and a Certified Government Financial Manager (CGFM). He is also an adjunct professor at Georgetown University and teaches finance and accounting in the graduate and undergraduate programs. Mr. Dalkin has a Master's Degree in Business Administration (MBA) from the George Washington University and a Bachelor of Science (BS) in Accounting from the University of Virginia. Prior to joining GAO, Mr. Dalkin worked as an auditor and consultant for an accounting firm.



BIOGRAPHIES



Lawrence L. Evans, Jr.

Managing Director, Applied Research and Methods, U.S. Government Accountability Office

Lawrence L. Evans, Jr. is a Managing Director in GAO's Applied Research and Methods (ARM) team. He oversees a team of statisticians, data analysts, actuarial scientists, librarians, economists and other social science specialists that design and execute methodologies that help inform and improve government operations, regulation, taxpayer outcomes, and GAO's own internal business processes. Lawrence joined GAO in July 2001. He has served as a lead economist in GAO's Center for Economics, and Managing Director in the Financial Markets and Community Investment (FMCI) team. As a Director in FMCI, Lawrence directed work aimed at strengthening the stability, competitiveness, efficiency, and integrity of the U.S. financial system. Lawrence also led GAO's Council for Financial Awareness and served as a member of two international working groups focused on anti-corruption and money laundering and financial modernization and regulatory reform. Prior to joining GAO, Lawrence was a research fellow at Amherst College and held positions in administration at Colgate University. Lawrence earned a doctorate degree in economics from the University of Massachusetts, Amherst. Lawrence earned a bachelor's degree in economics from Colgate University.

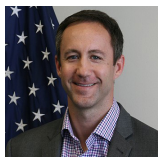


Joe Ferguson

Inspector General, City of Chicago, Illinois

Joseph Ferguson has been Chicago's Inspector General since 2009. During his tenure, the Chicago Office of Inspector General has evolved into one of the nation's leading municipal oversight agencies through the development and evolution of its GAO-modeled Audit & Program Review Section (APR), its Center for Information Technology & Analytics (CITA), while carrying on traditional administrative and criminal investigative work. The outcry regarding police use of force following the 2015 release of the video of the police-involved shooting of Chicago teenager Laquan McDonald, prompted Ferguson's appointment to the Chicago Police Accountability Task Force which issued findings detailing a history of discriminatory and substandard policing practices in Chicago and as well as recommendations for reform that, among other things that resulted in the legislated creation of a dedicated Public Safety IG within the Chicago OIG. Ferguson previously worked in Chicago's U.S. Attorney's Office, serving as Chief of Money Laundering and Forfeiture Section and as Deputy in its Complex Fraud and Financial Crimes Section. He represented the U.S. in federal trial and appellate courts in criminal public corruption, health care fraud, government program fraud, labor racketeering, terrorist financing, and gun, narcotics, and drug-related violent crime cases. Earlier work as a Civil Division attorney included False Claims Act, employment discrimination (Title VII), civil rights, and environmental law matters. For that work he has received DOJ's Director's Award and CIGIE's Gaston L. Gianni Award. Ferguson is a lecturer at Loyola University Chicago School of Law and was an instructor at DOJ's National Advocacy Center. He has served on the Board and Executive Committee of the Association of Inspectors General and was a part of the Data Analytic Working Group at the Center for the Advancement of Public Integrity at Columbia Law School.

BIOGRAPHIES



Craig Fischer

Supervisory Program Manager, Office of Financial Innovation and Transformation (FIT), Bureau of the Fiscal Service, U.S. Department of the Treasury

Craig Fischer is a Program Manager within the U.S. Department of the Treasury's Bureau of the Fiscal Service who is mainly focused on understanding the impact emerging trends and technologies will have on government finance. Craig leads the agency's blockchain work where he and his team have carried out three proofs of concept over the past two years. Prior to FIT, Craig held positions with a "Big Four" consulting firm, the Chief Financial Officer's (CFO) Council, House Committee on Oversight and Government Reform and the Government Accountability Office (GAO).



Ian Green, M.Econ, CGAP, CFE, CISA

Audit Manager—Data Analytics, Oregon Secretary of State, Audits Division

Ian Green has been with the Oregon Secretary of State Audits Division for nearly a decade and is a Performance Audit Manager overseeing the division's data analytics function. During his tenure, Ian has conducted audit work at every major state agency on topics such as the use of overtime in prisons, caseload forecasting, university payroll fraud, Temporary Assistance for Needy Families, financial condition and strategic planning, statewide information technology security, and improper Medicaid payments. Ian is a two-time recipient of the National State Auditors Association Excellence in Accountability Award, including best performance audit in the nation. Before working for the Oregon Audits Division, Ian conducted economic research, statistics instruction, and compliance auditing, and has worked on a wide range of independent consulting projects. He received a master's degree in economics from Portland State University. Ian is a past president of the Salem chapter of the Institute of Internal Auditors and volunteers with the National Association of State Auditors, Comptrollers, and Treasurers. He is a Certified Government Auditing Professional, Certified Fraud Examiner, and Certified Information Systems Auditor and he routinely conducts training in fraud prevention and detection, data mining, and internal controls.



BIOGRAPHIES



Michael E. Horowitz

Inspector General, U.S. Department of Justice and Chair, Council of the Inspectors General on Integrity and Efficiency

Michael E. Horowitz was sworn in as the Inspector General of the Department of Justice (DOJ) on April 16, 2012, following his confirmation by the U.S. Senate. Mr. Horowitz was previously confirmed by the Senate in 2003 to serve a six-year term as a Commissioner on the U.S. Sentencing Commission. As Inspector General, Mr. Horowitz oversees a nationwide workforce of more than 450 special agents, auditors, inspectors, attorneys, and support staff whose mission is to detect and deter waste, fraud, abuse, and misconduct in DOJ programs and personnel, and to promote economy and efficiency in Department operations. Mr. Horowitz worked from 2002 to 2012 as a partner at Cadwalader, Wickersham, & Taft LLP, where he focused his practice on white collar defense, internal investigations, and regulatory compliance. Prior to working in private practice, Mr. Horowitz worked in DOJ from 1991 to 2002. He served as an Assistant U.S. Attorney for the Southern District of New York from 1991 to 1999, where he was the Chief of the Public Corruption Unit and a Deputy Chief of the Criminal Division. Mr. Horowitz began his legal career as a law clerk for Judge John G. Davies of the U.S. District Court for the Central District of California and as an associate at Debevoise & Plimpton. Mr. Horowitz earned his Juris Doctor, magna cum laude, from Harvard Law School and his Bachelor of Arts, summa cum laude, from Brandeis University.



Elaine Howle

State Auditor, State of California

For nearly 20 years, Elaine M. Howle has served as the California State Auditor. Under her leadership, the State Auditor's Office provides objective assessments of state and local government entities. Ms. Howle has nearly 37 years of auditing, management, and leadership experience with the California State Auditor's Office and its predecessor office. A trusted voice, Ms. Howle is frequently called upon to provide testimony in legislative hearings and brief members on the results of her office's work. She has received various national awards and serves on various committees for national organizations. Ms. Howle is a certified public accountant and a certified government financial manager. She earned a bachelor's degree in sports management from the University of Massachusetts and a master's of business administration from California State University, Sacramento.



BIOGRAPHIES



Tina Kim

Deputy Comptroller for State Government Accountability, Office of the New York State Comptroller

Tina Kim, CPA, CGMA, CFF, CITP, CISA, CIA, CGAP, CRMA, CFE is the Deputy Comptroller for the Division of State Government Accountability at the Office of the New York State Comptroller. She previously served as the Office of the New York City Comptroller's Deputy Comptroller for Audit. Ms. Kim is the former chair of the AICPA's Government Performance and Accountability Committee as well as the IIA's Public Sector and Information Technology Guidance Committees. She currently serves as a board member of the IIA's Research Foundation. Ms. Kim is the 2018 winner of state level David M. Walker Excellence in Government Performance and Accountability Award.



Megan Ko

Senior Management Auditor, King County Washington Auditor's Office

Megan Ko is a Senior Management Auditor with the King County Auditor's Office in Seattle, WA, and Vice Chair of the Diversity, Equity, and Inclusion Committee of the Association of Local Government Auditors (ALGA). Megan won a Distinguished Knighton Award in 2020 for her work on an audit of personally identifiable information. She earned a Master of Public Administration from the Evans School of Policy and Governance, University of Washington, and a Bachelor of Arts in Anthropology from the University of North Texas. She is a proponent of equitable, effective, and transparent governance.



Sharon Leu

Senior Policy Advisory, Office of Educational Technology, U.S. Department of Education

Sharon leads the Office of Educational Technology's higher education innovation initiatives that explore the complex ecosystem of lifelong, lifewide postsecondary learning and the opportunities technology provides to broaden access to education for all learners. Most recently, these initiatives focus on open education, credential interoperability, accessible learning resources, and the Education Blockchains Initiative. Sharon also works in offices across the government on projects that showcase promising practices in digital workforce development and building capacity for emerging technologies. Sharon is an aspiring park ranger.



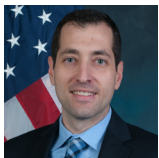
BIOGRAPHIES



Scot Loyd

Partner, Swindoll, Janzen, Hawk, & Loyd, LLC

Scot has been a partner with the firm Swindoll, Janzen, Hawk & Loyd, LLC of McPherson, Kansas, since 1990. He is a Certified Public Accountant (CPA), Certified Government Financial Manager (CGFM), Certified Fraud Examiner (CFE) and a Certified Global Management Accountant (CGMA). Scot is the Audit Quality Control partner and partner in charge of a diversified governmental practice relating to states, counties, cities, schools and other miscellaneous government entities. He has been involved with the American Institute of Certified Public Accountants (AICPA) Ethics Division regulatory process as a Professional Ethics Executive Committee (PEEC) member, Technical Standards government subgroup Ad-Hoc committee member and an Ad-Hoc government ethics case reviewer. He has also been a member or Chair of several AICPA task forces and committees and Mid-America Intergovernmental Audit Forum (MAMIAF) committees; National Intergovernmental Audit Forum (NIAF) Executive Committee; Kansas Municipal Accounting and Auditing Guide (KMAAG) Editorial Board; AICPA Partnering for CPA Practice Success (PCPS) Technical Issues Committee; GAO Strategic Planning Task Force for the National Intergovernmental Audit Forum; MAMIAF Executive Committee; AICPA Auditing Standards Board Non-Financial Information Task Force; AICPA Government Accounting and Auditing Committee (GAAC) State and Local Audit Guide Overhaul Task Force; AICPA GAAC and Kansas Society of CPA's Governmental Accounting and Auditing Committee Chairman. Scot has also co-authored several books and publications.



Nick Marinos

Director, Cybersecurity and Data Protection Issues, Information Technology and Cybersecurity Team, U.S. Government Accountability Office

Nick Marinos is a Director in GAO's Information Technology and Cybersecurity team and leads audit teams that perform government-wide and agency-specific reviews in the areas of cybersecurity, critical infrastructure, privacy, and data protection across all major federal agencies. He also leads GAO's ongoing evaluation of systems readiness and cybersecurity issues in preparation for the 2020 Census. During his career at GAO, he has led major reviews of the Federal Aviation Administration's traffic controls systems cybersecurity; information technology management challenges at the Library of Congress and Copyright Office; data protection practices at the Centers for Medicare and Medicaid Services and Federal Student Aid office; the response by Equifax and federal agencies to the 2017 data breach; and a variety of reviews in partnership with other GAO experts focused on critical infrastructure cybersecurity. He recently led GAO's effort to update its cybersecurity high risk area, issuing a September 2018 report identifying 10 urgent actions for federal government and other entities to address national cybersecurity challenges. Nick earned an MBA in information systems and technology and a bachelor's degree in business information technology, both from Virginia Tech. He serves on the Virginia Tech MBA and BIT advisory boards at the Pamplin College of Business. As one of GAO's leading experts, he is frequently invited to speak on a range of cybersecurity topics. Nick is a certified information privacy professional.



BIOGRAPHIES



Kip Memmott

Audits Director, Oregon Secretary of State, Audits Division

Kip serves as the Audits Director for the Oregon Secretary of State's Office—Oregon's state auditor position. Kip's professional positions include Chief Audit Executive for the Oregon State Treasury, Director of Audit Services for the City and County of Denver, Colorado, Audit Manager with the County of San Diego, California, Performance Audit Supervisor with the State of Arizona's Office of the Auditor General, and as a Senior Consultant with KPMG. He holds a bachelor's degree from the University of Utah and a master's degree from Arizona State University. He is a graduate from the Harvard University Kennedy School of Government's Executives in State and Local Government executive education program and has earned a Certificate in Public Leadership from the Brookings Institute. Kip serves on the Institute of Internal Auditors' (IIA) Public Sector Auditing Center's Advisory Board and previously served as an officer on the Association of Local Government Auditors' (ALGA) Governing Board. The Secretary of State's Audits Division received the National State Auditors Association's (NSAA) Excellence in Accountability award for performance audit reports examining Oregon's Prescription Drug Monitoring Program and Oregon's foster care system and a Special Project award for a high impact report concerning Medicaid program challenges. Performance audits he directed received Knighton Awards four years in a row for excellence and impact from the Association of Local Government Auditors. In 2014, the IIA identified the City and County of Denver, Colorado's Auditor's Office as one of 11 best practice audit functions for major cities in the U.S. and Canada.



Brian D. Miller

Special Inspector General for Pandemic Recovery, U.S. Department of the Treasury

Brian D. Miller was confirmed by the Senate on June 2, 2020, to be the Special Inspector General for Pandemic Recovery. Prior positions include: Senior Associate White House Counsel; Senate-confirmed Inspector General of the United States General Services Administration; Senior Counsel to the Deputy Attorney General; Assistant United States Attorney in the Eastern District of Virginia; Special Counsel on Health Care Fraud for the Deputy Attorney General; and, Counsel to the United States Attorney. As a federal prosecutor, Mr. Miller is known for his contributions to the Moussouai and other terrorism prosecutions. As inspector general, he is known for his investigation of a lavish GSA conference in Las Vegas and his independent oversight of the GSA, documented in "Those Who Dared: 30 Officials Who Stood Up for Our Country," a report by Citizens for Responsibility and Ethics in Washington. In 2012, Mr. Miller was honored to receive the David M. Walker Excellence in Government Performance and Accountability Award.



BIOGRAPHIES



Jim Nobles

Legislative Auditor, State of Minnesota

Jim Nobles is Minnesota's Legislative Auditor, a position he has held since 1983. His office conducts financial audits, program evaluations, and investigations. Jim previously served as Deputy Legislative Auditor for Program Evaluation. He earned an MPA at the University of Minnesota.



R. Kinney Poynter

Executive Director, National Association of State Auditors, Comptrollers, and Treasurers

Kinney Poynter is the Executive Director for the National Association of State Auditors, Comptrollers, and Treasurers (NASACT), where he is responsible for overall operations of NASACT and National Association of State Comptrollers and National State Auditors Association programs. NASACT is a professional organization whose mission is to assist state leaders to enhance and promote effective and efficient government resource management. Since joining NASACT, he has served as Deputy Director and various other positions. Kinney has been a partner of a local Lexington, Kentucky, public accounting firm and a principal auditor with the Kentucky Auditor of Public Accounts, where he conducted financial, performance, and investigative audits. While in public practice, he performed audits on various not-for-profit organizations subject to the single audit requirements of OMB Circular A-133. He has BS and MS degrees in accounting from the University of Kentucky, is a Certified Public Accountant, and a member of the American Institute of Certified Public Accountants (AICPA), where he served on the AICPA Council from 2009-2012. He has also served as an instructor domestically and internationally on a variety of governmental accounting and auditing issues.



Daryl G. Purpera

Louisiana Legislative Auditor and President, National State Auditors Association

Daryl G. Purpera was sworn in as the Legislative Auditor for the State of Louisiana on April 19, 2010. He previously served as the First Assistant Legislative Auditor for three years, and has 36 years of audit experience including financial audits, investigative audits, conducting forensic interviews, and testifying before courts and legislative committees. Mr. Purpera holds a B.S. in Accounting from LSU and has been a CPA since 1985. Mr. Purpera has been an NSAA Executive Committee member for three years and served as chairman of the NSAA Performance Audit Committee and as a member of the Annual Meeting Planning Committee and Nominating Committee. He has served on NASACT's Executive Committee since 2017, as well as the Committee on Accounting, Reporting and Auditing (CARA) since 2013. Other professional memberships include American Institute of Certified Public Accountants; National Intergovernmental Audit Forum; Southwest Intergovernmental Audit Forum; Association of Certified Fraud Examiners; and Government Finance Officers Association.



BIOGRAPHIES



Jamie Ralls

Chief Audit Executive, SAIF

Jamie Ralls worked at the Secretary of State Audits Division for 20 years as a performance auditor and Audit Manager. Most recently Jamie accepted a position as Chief Internal Auditor with SAIF corporation, Oregon's top workers compensation insurance company. Jamie has been formally recognized for her high-impact audits and was the recipient of the 2015 and 2020 National State Auditors Association Excellence in Accountability Award. In 2016, 2018, and 2020 audits she led received the "Impact Award" from the National Conference of State Legislators. Jamie is certified through ACL as a Data Analyst (ACDA) and is a Certified Fraud Examiner. In her work as a fraud examiner she has assisted Oregon State Police, local District Attorneys and the FBI in several fraud cases resulting in convictions. Jamie routinely conducts training in fraud prevention and detection, data mining and data analytics, and internal controls.



Tasha Repp

Partner and National Practice Leader, Moss Adams

Tasha is a CPA and has been working with Moss Adams serving Tribal governments since 1997. Tasha is Moss Adams National Practice Leader overseeing all of the firm's services to Tribes, and was previously a member of the firm's Assurance Services Committee and leader of the Public Sector, Not-for-profit and Tribes technical committee. Tasha is also a designated Single Audit technical reviewer for the firm. Tasha served as a member of the Governmental Accounting Standards Advisory Committee (GASAC) to the Governmental Accounting Standards Board (GASB), as a representative for the Native American Finance Officers Association (NAFOA) from 2013-2018. Tasha currently serves as a member of GASB's Tribal Working Group established to evaluate and recommend alternative reporting models for Tribal businesses for consideration by the GASB. Tasha is also an enrolled member of the Samish Indian Nation. Tasha is a member of the American Institute of Certified Public Accounts and the Native American Finance Officers Association.



Tom Rohrback

Branch Chief, Information Assurance and Testing, Office of Inspector General, U.S. Department of Homeland Security

Thomas Rohrback is the Branch Chief of Information Assurance and Testing for Technology Audits and Analytics Support at the Department of Homeland Security's Office of Inspector General. He leads a team of IT Specialists who support audits and evaluations with IT Security expertise, testing activities, and analysis on a wide variety of complex technical issues. Further, the Branch hosts and maintains an IT training laboratory where auditors and specialists can learn sources of technical evidence, methods of collection, and documentation. Tom has worked at DHS OIG since 2007, and holds a Bachelor's degree in Information Technology from George Mason University.



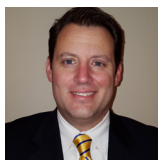
BIOGRAPHIES



Christy Goldsmith Romero

Special Inspector General for the Troubled Asset Relief Program, U.S. Department of the Treasury

Christy Goldsmith Romero is Special Inspector General for the Office of the Special Inspector General for the Troubled Asset Relief Program (SIGTARP). Ms. Goldsmith Romero was nominated as Special Inspector General by President Barack Obama on February 1, 2012; confirmed by the United States Senate on March 29, 2012; and sworn into office on April 9, 2012. Prior to being sworn into office, Ms. Goldsmith Romero was Deputy Special Inspector General at SIGTARP, a position she had held since February 2011. Between 2009 and 2011, Ms. Goldsmith Romero served as the Chief of Staff of SIGTARP. From July 2010 through September 2010, she served as Acting Deputy Special Inspector General, and from March 2011 through October 2011, she served as Acting Special Inspector General. Ms. Goldsmith Romero joined SIGTARP from the U.S. Securities and Exchange Commission (SEC), where, from December 2007 through August 2009, she served as counsel to SEC Chairman Mary Schapiro and Chairman Christopher Cox. From 2003 through 2007, as an attorney in the SEC Division of Enforcement, she investigated financial fraud, insider trading, and other violations of the securities laws. Prior to joining the SEC, Ms. Goldsmith Romero was a litigator specializing in financial restructuring at the law firms of Akin Gump Strauss Hauer & Feld; Snell & Wilmer; and Jenner & Block. She also clerked for the Honorable Robert C. Jones, United States Bankruptcy Judge for the District of Nevada, and for the Ninth Circuit Bankruptcy Appellate Panel. Ms. Goldsmith Romero earned a B.S. in business from Old Dominion University and a J.D. from Brigham Young University Law School.



Ryan Schaedig

Director (acting), Do Not Pay Business Center, Bureau of the Fiscal Service, U.S. Department of the Treasury

Ryan Schaedig is an experienced leader who has lead multiple programs within the Bureau of the Fiscal Service over the past 8 years to include the Electronic Federal Tax Payment System (EFTPS), the Collections Information Repository (CIR) and, currently, the Do Not Pay Business Center. His current responsibilities as Acting Director of the Do Not Pay Business Center include ensuring the development and delivery of the DNP Portal, providing oversight to a team of data scientists conducting advanced analytics, and leading a team of Outreach specialists, all in support of efforts to identify, detect, and prevent improper payments. In addition to supporting Federal Agencies, Do Not Pay has begun intensifying support to Federally funded State administered programs with the expansion of its authorities under the Payment Integrity Information Act (PIIA) of 2019. He holds a bachelor's degree in Information Technology and a master's degree in Technology Management both from George Mason University. He lives in Arlington Virginia with his wife and 2 young children (ages 2 and 5).

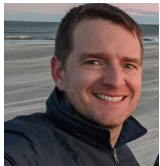
BIOGRAPHIES



Tanya Schulze

Regional Inspector General for Audit, Department of Housing and Urban Development Office of Inspector General

Tanya Schulze has nearly 30 years of experience with the U.S. Department of Housing and Urban Development, Office of Inspector General (HUD OIG). Tanya started her auditing career in the Los Angeles, California office in 1991 as an entry level auditor and since June 2010 has been serving as the Regional Inspector General for Audit for Region 9. Tanya has Bachelor of Science and Master's degrees in Business Administration from California State University, Long Beach. Over the years Tanya has conducted and supervised audits on a multitude of different entities covering the gamut of HUD's programs, many of which have been very complex and disclosed significant results. In addition, Tanya has earned numerous achievements and accomplishment awards for her work, including an award from the Council of the Inspector General on Integrity and Efficiency in 2015 for her outstanding audit work in the review of HUD's implementation and oversight of Public Housing's asset management fees and central office cost centers. In addition, Tanya was awarded the HUD Office of Inspector General audit manager of the year award for her significant and impactful work on the central office costs centers and down payment assistance programs. Throughout her career, Tanya has routinely taken on numerous special projects and tasks. Currently, she serves as the Forum Chair for the Western Intergovernmental Audit Forum. Tanya is married and has twin boys that keep her very busy with all their school, sports and scouting activities and events.



Andy Serfass

Senior Data Scientist, Innovation Lab, Science, Technology Assessment, and Analytics, U.S. Government Accountability Office

Andy Serfass is a Senior Data Scientist for GAO's Innovation Lab responsible for developing a number of prototypes. He is a DC native and studied Industrial Engineering at Penn State University. Prior to joining GAO, he worked for the Navy for 12+ years. He has an MBA from UMD and enjoys gardening and bee keeping (and automating both with Raspberry Pi computers).



Deborah Snyder

Senior Fellow, Center for Digital Government and Former Chief Information Security Officer for New York State

Deborah is an accomplished C-level executive, influencer and educator who has dedicated her career to improving state and local government services for the citizens of New York State through policy and technology innovation. She has a broad range of experience in government, policy, cybersecurity, privacy and information technology. She recently retired from the position as New York State's Chief Information Security Officer, where she led the state's cybersecurity programs and directed the NYS Cyber Command Center. She works with organizations and universities to strengthen cybersecurity defenses and preparedness and create and inspire the next generation of cyber leaders.



BIOGRAPHIES



Larry Stafford

Audit Services Director, Clark County, Washington and President, Association of Local Government Auditors

Larry Stafford is the Audit Services Manager for Clark County, Washington and the President of the Association of Local Government Auditors. Since joining Clark County in 2012, Larry has had the opportunity to complete work in most areas of county government including law and justice, public works, community services, community development, and risk management. In addition to audits of state and local governments, his 20 years of professional experience includes engineering, project management, and finance in the private sector. Larry is also a past member of the GASB's Governmental Accounting and Standards Advisory Council. He has delivered training at national and regional events on topics including internal controls, audit practices, and ethics for auditors. Larry has also led or been a member of peer reviews for several local government audit functions. He has a Master's Degree in Business Administration from Oregon State University and is a Certified Internal Auditor, Certified Government Auditing Professional, and Certified in Risk Management Assurance.



Lise Valentine

Deputy Inspector General—Audit and Program Review, City of Chicago Office of Inspector General

Lise Valentine is Deputy Inspector General for Audit and Program Review at the City of Chicago Office of Inspector General. The Audit and Program Review section conducts performance audits of municipal operations, making recommendations to strengthen and improve the delivery of city services. Prior to joining the office in December 2011, she served as Vice President and Director of Research at the Civic Federation, a non-partisan governmental research organization in Chicago, where she conducted and led research on government efficiency, transparency, and tax policy. She previously taught State and Local Public Finance for the Master's in Public Administration Program at the University of Illinois at Chicago and was an elected Commissioner and Treasurer of the Park District of Oak Park, IL. Valentine currently serves on the Professional Issues Committee of the Association of Local Government Auditors (ALGA) and represents ALGA on the Governmental Accounting Standards Advisory Council. She is also a member of the Association of Inspectors General (AIG) Board of Directors, and an instructor and the Course Manager for the AIG's Certified Inspector General Auditor® program. Dr. Valentine earned a Ph.D. in Communication Studies from the University of Iowa, where she taught courses in interpersonal communication, conflict communication, and persuasion. She also earned an M.S. in Accountancy from DePaul University and B.A. in Humanistic Studies McGill University in Montreal, Canada. She is a Certified Public Accountant, Certified Internal Auditor, and a Certified Inspector General Auditor®.



BIOGRAPHIES



Doug Webster

Independent Consultant, Former Chief Financial Officer, U.S. Department of Education

Dr. Doug Webster is a retired Air Force officer with a subsequent quarter century working both in and consulting to the federal government. Specific past work assignments have included serving as the Director of Risk Management at the US Agency for International Development, Deputy Director of the DoD Business Transformation Agency, Chief Financial Officer of the US Department of Labor, and Chief Financial Officer of the US Department of Education. He is an elected Fellow of the National Academy of Public Administration. He was a leading founder of the Association for Federal Enterprise Risk Management in 2011, and served as the organization's initial president. As an adjunct professor, Doug has taught strategic planning, managerial accounting, financial management, engineering economic analysis, business ethics, and risk management. His degrees include a BS in engineering, MS in Systems Management, and Doctorate in Business Administration. His certifications include: Certified Risk Management Professional (CRMP-FED), Project Management Professional (PMP), Certified in the Governance of Enterprise IT (CGEIT), and Certified Government Financial Manager (CGFM). Doug is a frequent conference speaker and is co-author of books on cost management, performance management, risk management, and organizational change management. His latest book, "Value-Based Management for Government," was published by Wiley in January 2020.



Pamela L. Weipert

Compliance Officer, Oakland County Michigan Compliance Office

Pam Weipert is the Compliance Officer for Oakland County, Michigan. Pam has worked in local government auditing at the City of Detroit and Oakland County since 2000. Pam is the Association of Local Government Auditors (ALGA) immediate past president, and has chaired several committees including planning ALGA's 2019 Annual Conference in Kansas City, MO. Pam served as a local representative and chair of the GAO's Midwestern Intergovernmental Audit Forum's Executive Committee and served on the NIGP's 2014-2020 Strategic Plan Update Task Force. Pam also served as the treasurer of the Detroit Chapter of the Institute of Internal Auditors for many years. Pam has a Masters Degree in Business Administration, is a Certified Public Accountant, a Certified Internal Auditor, and a Certified Government Audit Professional.



AUDIT FORUM NETWORK 2020 WEBINAR SERIES

Hear from speakers from across forum regions, learn about unique initiatives and skills, interact with colleagues and earn GAGAS CPEs—all without any registration or travel fees.

Two-hour webinar sessions will be hosted monthly beginning in late August through the end of 2020. Each month, a regional audit forum will host a webinar for the entire community.

The inaugural webinar, hosted by the Pacific Northwest Intergovernmental Audit Forum, will focus on “Supporting Vulnerable Populations Through Performance Auditing: Considerations, Approaches, and Lessons Learned.”



Open to All Regions



Earn 2 GAGAS CPEs



*Limited to 1K Attendees
Per Webinar*



*Click [here](#) to
access schedule online*

INTERGOVERNMENTAL AUDIT FORUM [IAF] REGIONS

Mid-America [MAMIAF] • Mid-Atlantic [MAIAF] • Midwestern [MIAF] • Mountain and Plains [MPIAF] • New England [NEIAF] • New York-New Jersey [NYNJIAF] • Pacific Northwest [PNIAF] • Southeastern [SEIAF] • Southwest [SWIAF] • Western [WIAF]

HOST REGIONS BY MONTH

AUGUST

PNIAF

SEPTEMBER

MAMIAF

MIAF

SEIAF

SWIAF

OCTOBER

MAIAF

NEIAF

NYNJIAF

NOVEMBER

MPIAF

WIAF

DECEMBER

MAMIAF

MIAF

SEIAF

SWIAF



APPRECIATION

The NIAF acknowledges and thanks the Program Planning Committee for contributing to the success of the 23rd Biennial Forum of Government Auditors

TAKA ARIGA

Chief Data Scientist and Director, Innovation Lab, Science, Technology Assessment, and Analytics, U.S. Government Accountability Office

ERNIE ALMONTE

Partner, RSM US LLP

DARREISHA M. BATES

Director of Domestic Relations, Strategic Planning & External Liaison, U.S. Government Accountability Office and Executive Director of the National Intergovernmental Audit Forum

MICHAEL J. BINDER

Director, Government Audit Training Institute and Federal Financial Management Programs, Graduate School USA

JAMES-CHRISTIAN B. BLOCKWOOD

Managing Director, Strategic Planning & External Liaison, U.S. Government Accountability Office

LISA R. COLLIER, CPA, CFE, CIDA

First Assistant State Auditor, State of Texas

LUIS ESCALANTE, JR.

Executive Director, Intergovernmental Audit Forums, U.S. Government Accountability Office

JOE FERGUSON

Inspector General, City of Chicago, Illinois

TINA KIM

Deputy Comptroller for State Government Accountability, Office of the New York State Comptroller

SCOT LOYD

Partner, Swindoll, Janzen, Hawk, & Loyd, LLC

KIP MEMMOTT

Audits Director, Oregon Secretary of State, Audits Division

SHAUNTICE MURPHY

Program Analyst, Strategic Planning & External Liaison, U.S. Government Accountability Office

JIM NOBLES

Legislative Auditor, State of Minnesota

NATHANIEL O'BRIEN

Executive Director, Intergovernmental Audit Forums, U.S. Government Accountability Office

JENNIFER PARISIEN

Financial Analyst, Tribal Treasury Department, Pechanga Band of Luiseño Indians

R. KINNEY POYNTER

Executive Director, National Association of State Auditors, Comptrollers, and Treasurers

OPHELIA ROBINSON

Executive Director, Intergovernmental Audit Forums, U.S. Government Accountability Office

LARRY STAFFORD

Audit Services Director, Clark County, Washington and President, Association of Local Government Auditors

PAMELA L. WEIPERT

Compliance Officer, Oakland County Michigan Compliance Office

HEATH WOLFE

Director of Audit Operations, Chicago Field Office, Office of Inspector General Federal Housing Finance Agency



