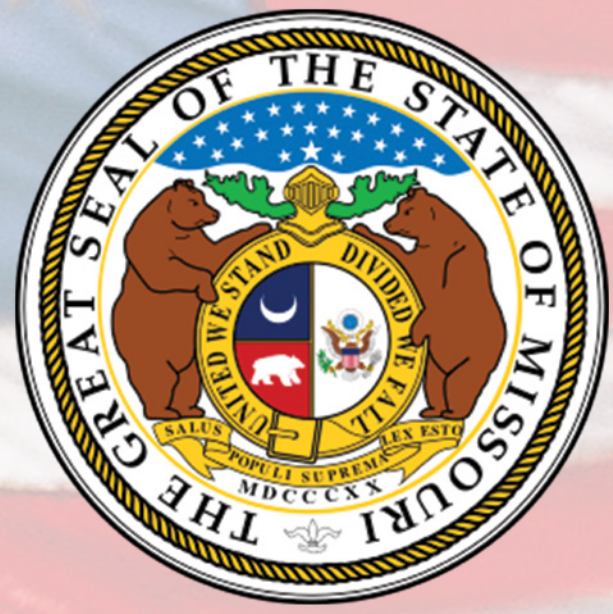


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21st BIENNIAL

Forum of Government Auditors
Changing Times and New Approaches for Accountability



May 10-12, 2016
Kansas City Convention Center



Photo: Jefferson Memorial Columns (Photodisc)

Welcome

May 10, 2016

Photo: Bartle Hall Convention Center (@Public Domain)

Dear Biennial Forum participants:

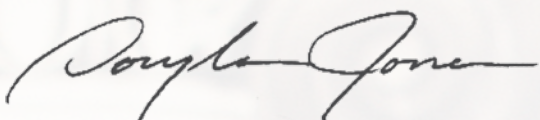
Welcome to the 21st Biennial Forum of Government Auditors. We are pleased to offer you, the members of the accountability community, an opportunity to learn, share best practices, and make contacts that will increase your knowledge and help strengthen the public sector auditing profession.

The theme of this year's Forum is "Changing Times and New Approaches to Accountability." Gene L. Dodaro, Comptroller General of the United States, head of the U.S. Government Accountability Office (GAO), and Chair of the National Intergovernmental Audit Forum's Executive Committee, will open the Forum with a discussion of important changes in the auditing environment.

Our agenda this year will also focus on innovation and change, and on how these impact government auditing. Our speakers will address new developments in data and data mining, cybersecurity, and smart cities and smart government. Other speakers will present on challenges faced by leaders in the profession at all levels of government and preparing those governments for change.

This Forum is co-hosted by the National Intergovernmental Audit Forum (NIAF) and the Mid-America Intergovernmental Audit Forum (MAMIAF). The MAMIAF represents government auditors in the states of Iowa, Kansas, Missouri, and Nebraska.

This year, the Forum is being held in Kansas City, Missouri, justifiably famous for barbecue, jazz, and the 2015 World Champion Kansas City Royals. We thank you for coming to the 21st Biennial Audit Forum and we hope you enjoy our Midwestern hospitality. Best wishes for an informative and successful Forum!



Douglas Jones
Chair, Mid-America Intergovernmental Audit Forum

Agenda

Tuesday, May 10, 2016
(Hotel Phillips)

3:00 pm – 5:00 pm **REGISTRATION**

5:00 pm – 7:00 pm **OPENING RECEPTION**

Wednesday, May 11, 2016
(Kansas City Convention Center, Bartle Room 2103)

7:00 am – 8:00 am **REGISTRATION AND CONTINENTAL BREAKFAST**

8:00 am – 8:10 am **PRESENTATION OF THE COLORS**
Kansas City, Missouri Fire Department Color Guard

SINGING OF NATIONAL ANTHEM
Officer Dustin Dierenfeldt, Kansas City, Kansas
Police Department

8:10 am – 8:30 am **WELCOME AND OPENING REMARKS**
Sylvester “Sly” James, Mayor, Kansas City, Missouri
(INVITED)
Troy Schulte, City Manager, Kansas City, Missouri

- 8:30 am – 8:40 am** **WELCOME TO THE BIENNIAL**
Douglas Jones, City Auditor, Kansas City, Missouri
Chair, Mid-America Intergovernmental Audit Forum
- 8:40 am – 9:30 am** **OPENING KEYNOTE**
Gene L. Dodaro, Comptroller General of the United States
- 9:30 am – 9:50 am** **NETWORKING BREAK**
- 9:50 am – 11:05 am** **ASSESSING NEW DEVELOPMENTS IN DATA**
The Internet of Postal Things
Mohammad Adra, Assistant Inspector General
U.S. Postal Service
Information Security: The Case of California
Elaine M. Howle, California State Auditor
- 11:05 am – 11:20 am** **NETWORKING BREAK**
- 11:20 am – 12:10 pm** **DATA ANALYTICS FOR IDENTIFYING IMPROPER
PAYMENTS AND FRAUD**
Beryl H. Davis, Director, Financial Management and
Assurance, GAO
Christopher Westphal, Vice President, SME Analytics,
FORCEPOINT
- 12:10 pm – 12:30 pm** **NIAF DAVID M. WALKER AWARDS**
Gene L. Dodaro presents awards to:
Federal – Marla A. Freedman, Assistant Inspector
General for Audit, Office of Inspector General, U.S.
Department of the Treasury

State – **Debra K. Davenport**, Arizona Auditor General

Local – **Tonia Lediju**, Director of City Audits, Office of the Controller, City and County of San Francisco

12:30 pm – 1:30 pm

LUNCH & NETWORKING

1:30 pm – 2:20 pm

**KEYNOTE:
USING THE AUDIT PROCESS TO IMPROVE DATA
SECURITY AND PROTECT AGAINST CYBER
THREATS IN GOVERNMENT**

Nicole Galloway, Missouri State Auditor

2:20 pm – 2:35 pm

NETWORKING BREAK

2:35 pm – 3:25 pm

**GAO BEST PRACTICE GUIDES:
COST ESTIMATING, SCHEDULING, AND
TECHNOLOGY READINESS ASSESSMENTS**

Dr. Timothy M. Persons, Chief Scientist, GAO

3:25 pm – 3:40 pm

NETWORKING BREAK

3:40 pm – 4:55 pm

**CAPACITY-BUILDING FOR THE ACCOUNTABILITY
COMMUNITY:
ATTRACTING AND DEVELOPING THE AUDIT
PROFESSIONAL**

Glen Fowler, Recruiting and Training Manager, California State Auditor’s Office

Beth A. León, Director, Council of the Inspectors General on Integrity and Efficiency (CIGIE) Training Institute, Audit, Inspection & Evaluation Academy

John P. Hutton, Assistant Director, Center for Audit Excellence, GAO

4:55 pm **ADJOURNMENT: MAIN RECEPTION TO FOLLOW**

5:15 pm – 7:15 pm **MAIN RECEPTION: BARNEY ALLIS PLAZA
(OUTDOOR VENUE)**

Thursday, May 12, 2016
(Kansas City Convention Center, Bartle Room 2103)

7:00 am – 8:00 am **REGISTRATION AND CONTINENTAL BREAKFAST**

8:00 am – 8:05 am **MEETING ANNOUNCEMENTS**
Luis Escalante, Jr., Executive Director, NIAF

8:05 am – 8:55 am **KEYNOTE:
THE GLOBAL INTERNAL AUDIT PROFESSION
VISION FOR THE FUTURE:
CHALLENGES AND A PATH FORWARD**
Patricia K. Miller, Chair, International Internal Audit Standards Board; Partner, Deloitte (Retired); Founder, PKMiller Risk Consulting, LLC

8:55 am – 9:10 am **NETWORKING BREAK**

9:10 am – 10:00 am **SETTING THE FOUNDATION FOR THE CITY OF THE
FUTURE**
**ESTABLISHING A SMART CORRIDOR IN KANSAS
CITY AND SETTING CONDITIONS FOR THE FUTURE**
Bob Bennett, Chief Innovation Officer, Kansas City, Missouri
E-GOVERNMENT AND DIGITAL BEST PRACTICES
Laura Doud, City Auditor, Long Beach, California

10:00 am – 10:15 am NETWORKING BREAK

10:15 am – 11:05 am HOW THE DATA ACT EMPOWERS OVERSIGHT

Hudson Hollister, Founder and Executive Director,
Data Coalition

11:05 am – 11:20 am NETWORKING BREAK

**11:20 am – 12:35 pm CHALLENGES FACED BY FEDERAL, STATE AND
LOCAL AUDITORS**

Mary Mitchelson, Inspector General, Corporation for
Public Broadcasting and Chair, CIGIE Audit Committee

Charlie Janssen, Nebraska Auditor of Public Accounts

Kip Memmott, Director of Audit Services, City and County
of Denver, Colorado

12:35 pm – 1:55 pm LUNCH & NETWORKING

**1:55 pm – 2:55 pm CONTEMPORARY CHALLENGES TO RETIREMENT
SECURITY: PUBLIC AND PRIVATE SECTOR
EXPERIENCES**

Charles A. Jeszeck, Director, Education, Workforce and
Income Security, GAO

Keith Brainard, Research Director, National Association of
State Retirement Administrators

2:55 pm – 3:10 pm NETWORKING BREAK

3:10 pm – 4:15 pm

**PREPARING GOVERNMENT AT ALL LEVELS FOR
TRANSITION AND CHANGE**

National Transitions: What are Key Elements?

John M. Kamensky, Senior Fellow, IBM Center for
the Business of Government

**State Transitions: What are Lessons Learned and
Best Practices?**

Randall Bauer, Director, Public Financial Management, Inc.

4:15 pm – 4:25 pm

CLOSING REMARKS

Douglas Jones, City Auditor, Kansas City, Missouri
Chair, Mid-America Intergovernmental Audit Forum

4:25 pm

ADJOURNMENT

Information



Photo: Bartle Hall Expansion (@Public Domain)

Meeting Attire

Business casual attire is appropriate for all meeting activities including evening receptions. Since meeting room temperatures may vary, you may want to bring a sweater or jacket to all sessions.

Continuing Professional Education (CPE) Credits

The 21st Biennial Forum of Government Auditors offers 14 CPE hours. There is no prerequisite or advance preparation for this meeting. To receive CPEs, all attendees must sign in at the registration desk. A CPE certification form is included in your meeting packet. Please self-certify those sessions you attended, enter the total number of CPEs on the form, and return the form to the office responsible for certifying CPEs in your agency or organization. The CPE form in your packet is the only form you will receive.

Evaluation Forms

We welcome your comments on the Forum sessions, facilities, and other aspects of the meeting. We would appreciate your help in improving future Biennial events by completing an electronic evaluation to be e-mailed to you after the meeting.

Final Agenda, Speaker Biographies, and Speaker Presentations

The Final Agenda, Speaker Biographies, and Speaker Presentations are posted on the Audit Forum website. You may download available speaker presentations prior to the meeting. The Forum will not distribute hard copies of the speakers' biographies and presentations at the meeting. Please see *www.auditforum.org* for these materials.

Name Badge Policy

Please remember to wear your name badge during all Forum sessions and activities. This allows you to access meeting events. Your badge is proof of registration; without it, you will not be allowed to participate in any meeting activities.

Policy on Use of Electronic Devices

As a courtesy to speakers and attendees, please refrain from using mobile phones or other electronic devices that may be disruptive during speaker presentations. We ask that you turn off these devices or set them to vibrate only and wait until networking breaks to return calls. Wi-Fi will be available in the meeting room and instructions on accessing the system will be announced at the meeting.

No Smoking Policy

Smoking is not permitted inside the Kansas City Convention Center. Please visit the registration desk to be advised of designated smoking areas outside the building.

Special Assistance

If you need assistance during the Forum, please visit the registration desk or ask any of the Forum staff for help. Assistance for attendees with disabilities is also available. Please see Forum staff.

Special Dietary Meal Requests

We cannot guarantee special meal requests; however, we will make every attempt to accommodate your needs. Please see the staff at the registration desk to make your request.

More Information About Kansas City, Missouri

For more information, see *https://www.visitkc.com/*

Opening Reception

Hotel Phillips

106 W 12th Street, Kansas City, MO, 64105, (816) 221-7000

<http://www.hotelphillips.com>

Tuesday, May 10, 2016

5:00 – 7:00 p.m.

Even before this address was transformed into an elegant boutique hotel, it had a place in Kansas City's heart. When completed in 1931 (for \$1.6 million), the 20-story, 450-room hotel was the tallest in Kansas City, and the only commercial structure with a radio receiver in every room. In the Glennon Hotel, which stood in the original site of Hotel Phillips, future President, Harry S. Truman, ran the haberdashery on the ground floor.

Many of the Art Deco details from the 1930s remain today: the elaborate bronze and nickel metalwork, lustrous walnut paneling, stylish light fixtures and black glass on the ceiling.

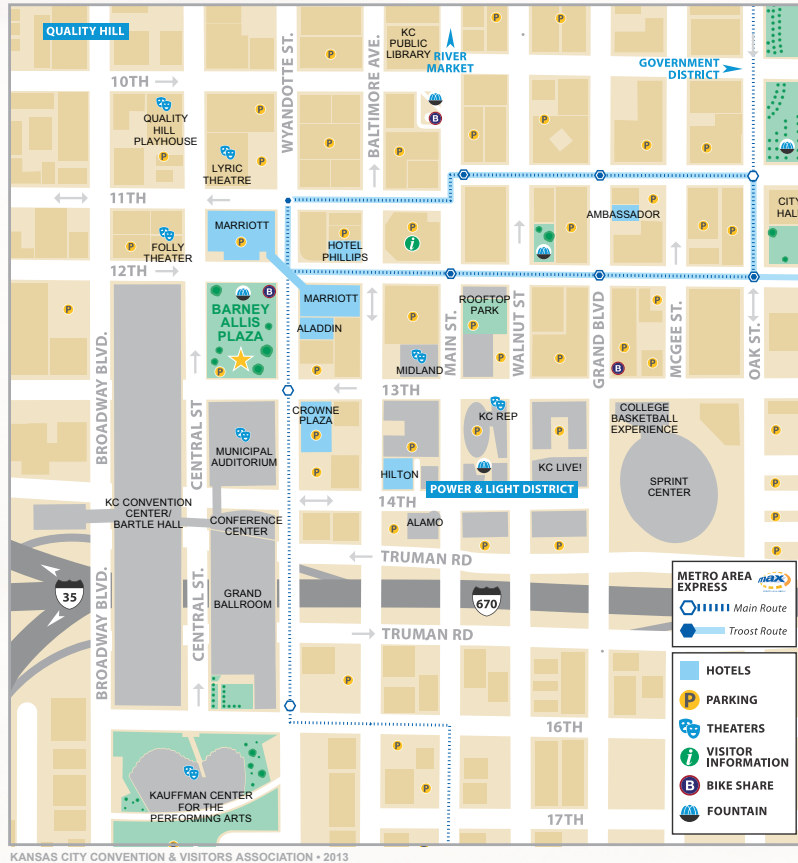
The lobby also includes an 11-foot likeness of the goddess of Dawn, known as the mother of the stars. Over the decades, actor John Barrymore, singer Tina Turner and other stars have visited the hotel.

In 1979, Hotel Phillips was placed on the National Registry of Historic Places. In 2001, the new owners, Marcus Hotels and Resorts, completed a \$28 million renovation to restore its magnificence while adding contemporary conveniences worthy of the AAA Three Diamond Award. Whether to renew acquaintances with long-standing colleagues or make new ones, we invite you to attend our opening reception for the 21st Biennial Forum of Government Auditors.

Main Reception

Barney Allis Plaza (Outdoor Venue)

5:15 – 7:15 p.m., Wednesday, May 11, 2016



<http://kcconvention.com/venue/barney-allis-plaza/>

Barney Allis Plaza is located in the heart of downtown Kansas City and nestled under the city’s breathtaking skyline across the street from Municipal Auditorium and the Convention & Entertainment Facilities. Visitors and locals enjoy the tree-lined urban park with fountains, sculptures, seating areas and tennis court – a unique green outdoor reception, festival and activity area.

Below the park is the Auditorium Plaza Garage, an underground 1,000 vehicle parking facility. Tunnels with directional signage connect the garage to the Convention Facilities, as well as nearby hotels. Enter the garage from Wyandotte Street or Central Street between 12th and 13th streets.

After meeting indoors all-day, attendees will have another opportunity to enhance relationships and expand professional networks by participating in the Biennial’s Main Reception. Heavy hors d’oeuvres of Kansas City delicacies specifically designed by the caterer’s Executive Chef await meeting participants.

In Appreciation

The National Intergovernmental Audit Forum (NIAF) and the Mid-America Intergovernmental Audit Forum (MAMIAF) extend sincere appreciation to the following individuals for their generous contributions in planning and coordinating the 21st Biennial Forum for Government Auditors.

Biennial Co-Chairs

James-Christian Blockwood
Managing Director
Strategic Planning and External Liaison
U.S. Government Accountability Office

Douglas Jones
City Auditor
Kansas City, Missouri
Chair, MAMIAF

Program Committee

Nancy Donovan (Chair)
Director of Domestic Relations
Strategic Planning and External Liaison
U.S. Government Accountability Office

Brett Baker
Assistant Inspector General
Office of the Inspector General,
National Science Foundation
Chair, Federal Audit Executive Council

Scott Frank
Legislative Post Auditor
State of Kansas and Vice-Chair, MAMIAF

Douglas Jones
City Auditor, Kansas City
Missouri and Chair, MAMIAF

Beth A. León
Director
Council of the Inspectors General
for Integrity and Efficiency
Training Institute, Audit, Inspection
& Evaluation Academy

D. Scot Loyd
Partner
Swindoll, Janzen, Hawk & Loyd, LLC
Past Chair, MAMIAF and
Associate Member Representative, NIAF

Kinney Poynter
Executive Director
National Association of State Auditors,
Comptrollers and Treasurers (NASACT)
Associate Member Representative, NIAF

Kymber Waltmunson
President
Association of Local Government Auditors (ALGA)
and County Auditor, King County, Washington

Operations Committee

Luis Escalante, Jr. (Chair)
Executive Director, NIAF
Strategic Planning and External Liaison
U.S. Government Accountability Office

Juanita A. Aiken
Program Specialist, Domestic Relations
Strategic Planning and External Liaison
U.S. Government Accountability Office



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