

3-Minute Audit Reports

3 minutes

1 slide

Mid-America Intergovernmental Audit Forum

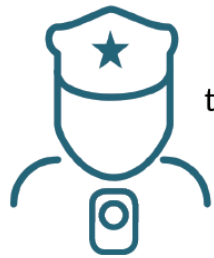
December 6, 2019

3:00



APD Body-Worn Camera Program

APD has set up the policies, training, and technology necessary to support the use of body-worn cameras. However, supervisors have not been conducting inspections of videos and APD does not have oversight processes to monitor the program.



100%
the camera was placed correctly on the officer's uniform and stayed attached



4%
of videos had the camera lens blocked at some point



4%
of videos stopped before the end of the incident



100%
the camera continued operating in inclement weather



11%
of videos did not include a 30-second pre-record

3%
of videos did not start at the beginning of the incident



5%
of videos were not categorized



12%
of videos were not categorized correctly

3:00



Schools Can Influence Student Eating Habits Through Lunch Scheduling Practices



Why?

- Eat more
- Eat healthier
- Better Behavior

Kids need 20 minutes to eat and should go to recess before lunch

Barriers

- Facilities
- Scheduling
- Resources

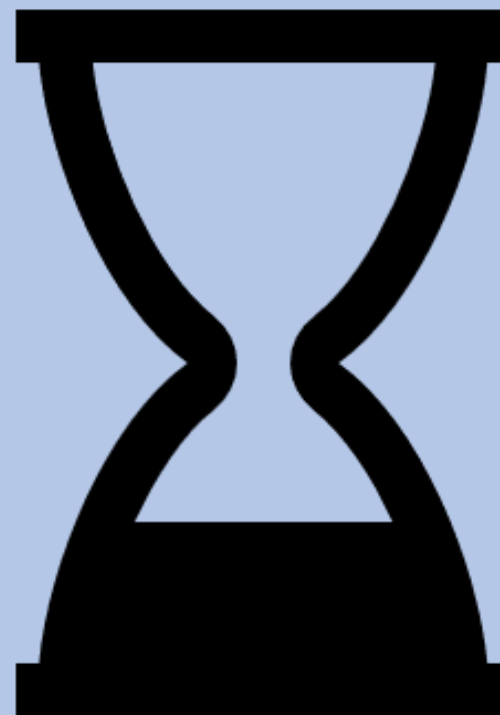
[Report Number 1024471](#)

Scott Frank

Director of Performance and IT Audit
Office of the Washington State Auditor

Scott.Frank@sao.wa.gov (360) 902-0376

3:00

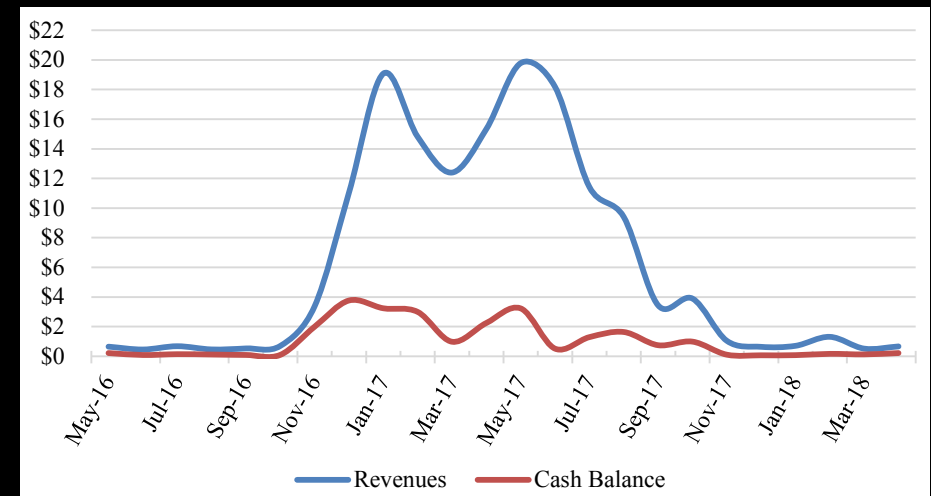


Missouri State Auditor's Office

Audit of Putnam County Municipal Hospital (Report #2017-074 & 2018-045)

Exposed a \$90+ Million insurance fraud scheme, found a significant lack of oversight by the hospital board allowing the scheme, and resulted in a federal indictment and guilty plea from the former CEO

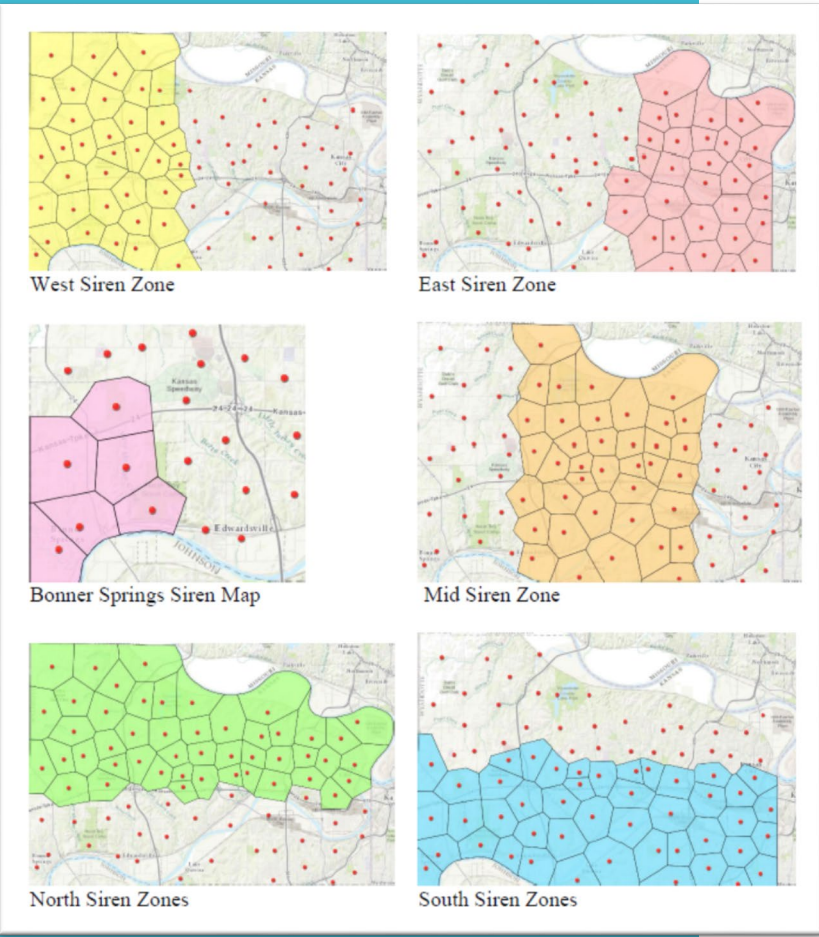
- New management company took over and immediately began utilizing the hospital's provider number to bill insurance companies for lab work from all over the country
- Determined the lab activity was coming from a network of related party labs and amounts billed were being then distributed to those labs
- As part of this network, the CEO had placed thirty-three phlebotomists from across the country on the hospital's payroll as a kickback scheme to attract lab business



3:00



Wyandotte County Tornado Warning Sirens Internal Control Audit



Audit Objective 1: Protocols are followed as stated in the 2018 Emergency Operations Plan, Addendum 6, "Wyandotte County Siren Activation – Tornado SOG".

Audit Objective 2: Recommended maintenance schedules for the sirens and any related systems are documented and followed.

Audit Objective 3: Issues brought to light during scheduled siren testing or siren activation are addressed in a timely manner.

Audit Objective 4: Proper security is maintained over the siren warning system. User access to system is protected.

Bryonne Cummings & Colin Duffy
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3:00





KANSAS LEGISLATIVE

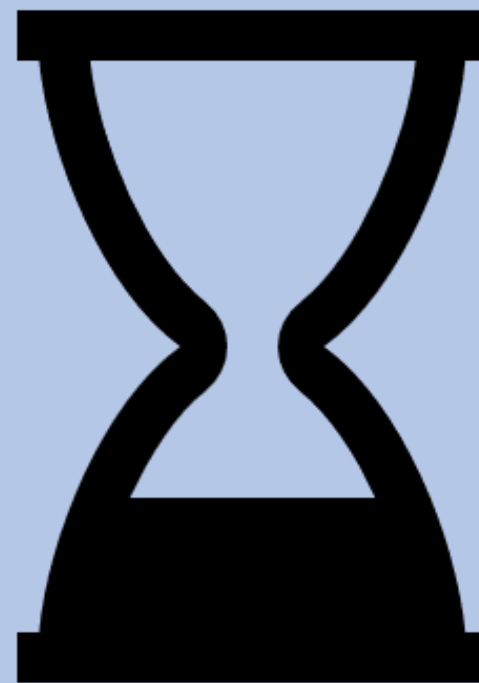
DIVISION *of*
POST AUDIT

Report R-18-005

Katrin.Osterhaus@lpa.ks.gov

- ▶ Statutory IT project oversight (concerns of significant \$ overruns & schedule delays).
- ▶ Finding 1: Statutory definition ok, but monetary threshold of \$250,000 too low.
- ▶ Finding 2: Statutory compliance issues.
- ▶ Finding 3: EPMO fees do not accurately reflect costs.
- ▶ Finding 4: Stakeholders question PM service value.

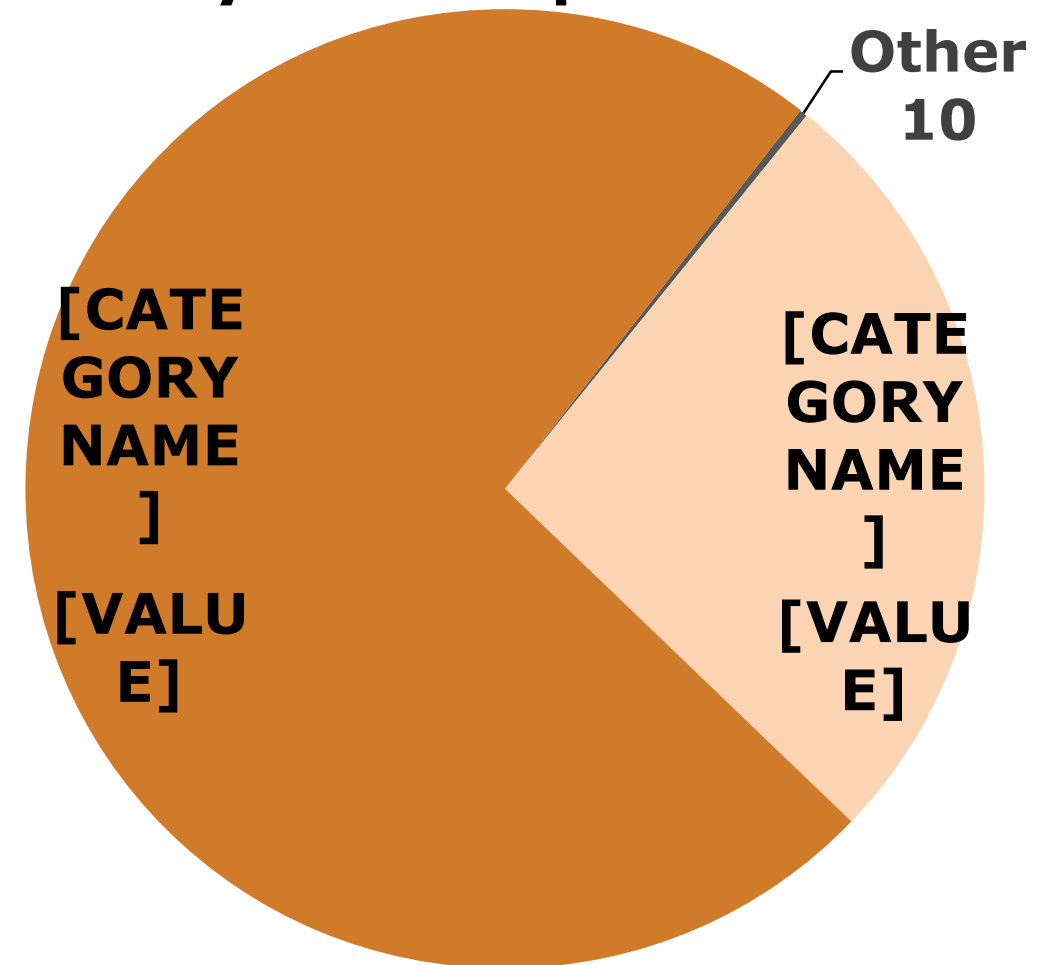
3:00



Retroactive Pay Adjustments: Employees Deserve Timely Pay Increases - August 2019

- ◆ Policy was no performance appraisal, no merit pay increase, but...
- ◆ HR rules noted employees MUST receive merit pay increase if review is late.
- ◆ Recommendation made to ensure timely pay increases.
- ◆ In Nov 2019 HR began giving merit increases on pay anniversary date.

Retroactive Pay Adjustments May 2016 - April 2019



3:00



FHA Loans to Federal Tax Delinquent Borrowers

1. Why we did the audit
2. Audit objective
3. Methodology
4. Results
5. Outcome

Lanre Iwayemi, Senior Auditor, HUD-OIG
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3:00



HUD's Oversight of Lead-Based Paint Reporting and Remediation in Public Housing

Finding – HUD lacked adequate oversight of lead-based paint reporting and remediation in its public housing and Housing Choice Voucher programs. Specifically, it did not:

- ensure that public housing agencies appropriately reported and mitigated cases involving children with environmental intervention blood lead levels (EIBLLs) in its public housing program.
- establish policies and procedures for public housing agencies to report a child with an EIBLL who resided in a household assisted under its Housing Choice Voucher program and ensure that identified lead hazards had been mitigated.
- ensure that public housing agencies completed required lead-based paint inspections. In addition, for housing built in or after 1978, HUD did not require public housing agencies to report and mitigate cases involving children with EIBLLs residing in public or assisted housing.

Questions: Kelly Anderson, Regional Inspector General for Audit, Region 5, (312) 913-8499.



3:00





Background: The Department of Agriculture must inspect Point-of-Sale systems to ensure the price consumers pay for goods is accurate.

- ▶ Finding 1: State law had few requirements on how often these systems should be inspected.
- ▶ Finding 2: Only 365 businesses were inspected in fiscal year 2018, which is likely a fraction of all point-of-sale systems in the state.
- ▶ Finding 3: More than half (59%) of the businesses inspected in fiscal year 2018 failed their inspections.

And we are done

Questions?