



New York-New Jersey Intergovernmental Audit Forum (NYNJIAF)

September 26, 2019

Baruch College -- Newman Conference Center

151 East 25th Street | New York, NY

FINAL AGENDA

7:30 – 8:20a

REGISTRATION AND CONTINENTAL BREAKFAST

8:20 – 8:30a

WELCOME AND ANNOUNCEMENTS

Gordon Taylor, Chair, NYNJIAF; University Executive Director, Office of Internal Audit and Management Services, The City University of New York

8:30 – 9:30a

KEYNOTE PRESENTATION

“Fighting Fraud Through Diversity of Skillsets and Disciplines”

This presentation will discuss how the Information Technology Audits and Computer Crime Investigations (ITACCI) unit at the U.S. Department of Education, Office of Inspector General leverages strength through the diversity of skillsets and disciplines within their unit. ITACCI has been in existence for close to fifteen years and was created within ED OIG from various independent components. ITACCI is unique within the IG Community and is a blend of auditors, investigators, analysts, and information technology specialists combined under one umbrella to address a variety of IT related issues.

Robert Mancuso, Assistant Inspector General for Information Technology Audits and Computer Crime Investigations (ITACCI), U.S. Department of Education

9:30 – 9:45a

NETWORKING BREAK

9:45 – 10:35a

THE 2018 YELLOW BOOK: WHAT YOU NEED TO KNOW

In July 2018, GAO issued the 2018 Revision of *Government Auditing Standards* (the Yellow Book). The 2018 Yellow Book includes changes to the format and structure of the standards and changes in the areas of auditor competence, internal control, and external peer review. This session will cover the key changes in the Yellow Book and the impact the changes may have on auditors and auditees.

Michael Bingham, Senior Auditor, Financial Management and Assurance, U.S. Government Accountability Office

10:35 – 10:50a

NETWORKING BREAK

10:50 – 12:20p

FRAUD RISK IDENTIFICATION AND MITIGATION

This presentation will discuss how to evaluate individual programs to determine their susceptibility to fraud, data mining and matching methodologies, identification of data anomalies, identification of fraud indicators, proper use of terminology to define results, and fraud mitigation techniques.

Wayne A. McElrath, CEO, XGE Consulting



FINAL AGENDA

12:20 – 1:05p

LUNCH AND NETWORKING

1:05 – 2:20p

VISUALIZING FRAUD IN YOUR DATA

Anti-fraud analytics have evolved from asking simple, rule-based questions of data, to letting the data tell a story through visualization, predictive models, anomaly detection, pattern recognition and text-mining techniques. Data visualization serves as a digital art form that can intuitively display connections in your data and help you zero in on bad actors. In this session, you will discover how data visualization leveraging simple tools can help your organization prevent and detect fraud more effectively.

Sophia Carlton, Manager, Fraud Risk Mitigation, Grant Thornton

2:20 – 2:35p

NETWORKING BREAK

2:35 – 3:25p

BEHAVIORAL ETHICS FOR AUDITORS

Ethics and integrity form the foundation for auditing—and good government service. But audit standards and the usual methods used to encourage ethical behavior frequently fail to bring good conduct to auditors or those being audited. Understanding how auditors (and those audited) actually reach their ethical judgements and the context in which they are made is critical to moving auditors toward a more perfect objectivity. In this session, the speaker will discuss insights from behavioral ethics research that can improve auditor objectivity, help maintain independence, spot fraud and misconduct, and design internal control and other systems that are more likely to encourage ethical, and not merely compliant behavior.

James Lager, Deputy Ethics Counselor, U.S. Government Accountability Office

3:25 – 3:35p

NETWORKING BREAK

3:35 – 4:25p

HOW ARTIFICIAL INTELLIGENCE (AI) IS TRANSFORMING AUDIT

AI will pervade every aspect of the enterprise in the 21st century. Organizations and functions such as finance and internal audit must be prepared to effectively harness these technologies to augment and enhance operations, risk processes, and audit quality. This session will share how government finance and audit functions are currently using AI technologies and how organizations can implement and govern AI.

Michael Butler, Director, Government Intelligent Automation, KPMG LLP

Payam Mousavi, Director, Government Intelligent Automation, KPMG LLP

4:25 – 4:35p

CLOSING REMARKS

Peggy Willens, Membership Chair, NYNJIAF; Audit Manager, MTA OIG

Juanita A. Aiken, Executive Director, NYNJIAF