Thursday, November 8, 2018

Ethics for Government Auditors
Presented by:
Jim Lager, Deputy Ethics Counselor, U.S. Government Accountability Office

Jim Lager is the Deputy Ethics Counselor to the GAO, and advises GAO on conflicts of interest, ethics, personal and organizational independence, and manages GAO's ethics and financial disclosure programs. Jim is also an Adjunct Professor at the University of Maryland's Robert H. Smith School of Business, where he teaches ethics-related courses to graduate students, a member of the Heterodox Academy and a collaborator to Ethicalsystems.org, a nonprofit organization that makes the world's best research available and accessible, for free, to anyone interested in improving ethical culture and behavior. Jim has Juris Doctorate, a Masters of Science in Organization Development, and publishes occasionally. He is still working on an article encouraging auditors and others to include behavioral science in audit engagements and in designing internal controls.

Integrating Data Analytics into the Audit Process
Presented by:
Penny Dillon, Director of Data Analytics, Office of the State Auditor, Massachusetts
Rob Birmingham, Data Analytics Manager, Office of the State Auditor, Massachusetts

Penny Dillon, Director of Data Analytics

Penny Dillon has been with the State Auditor's Office for 16 years and is currently the Director of Data Analytics for Audit Operations. Prior to accepting her current position, she was the Assistant Director of the Data Analytics Unit in the Bureau of Special Investigations employing data analytics to identify and investigate fraud, waste and abuse. The team was awarded the Office of the State Auditor's Outstanding Unit Award in 2016. Penny has a proven track record of aligning data analytics operations with organizational goals and she is a highly effective team-building manager of data analytics staff with a proven commitment to professional development. Penny also spent 13 years in the Information Technology department where she worked several years as a Business Intelligence Specialist managing design, development and implementation of enterprise business intelligence reporting. Before joining the State Auditor's Office, Penny worked 6 years at the New England College of Finance. She holds a Bachelor of Arts degree from Seton Hill University in Pennsylvania.

Robert Birmingham, Data Analytics Manager

Robert Birmingham joined the Massachusetts Office of the State Auditor in February of 2016. He has seven years of experience in data analytics and currently manages the team of data analysts within the Data Analytics Unit in Audit Operations. He has worked on numerous audits, ranging from public safety to health care, where he provided analytic support, analysis product and expertise to the audit staff. Prior to joining the Massachusetts Office of the State Auditor, Mr. Birmingham worked on web analytics and financial systems after graduating with a B.A. in Economics. He is currently pursuing his Masters in Public Administration from the University of Massachusetts Boston.

Statistical Sampling
Presented by:
Stacie Last, Statistical Sampling Specialist
U.S. Department of Health and Human Services, Office of Inspector General

Stacie Last has been employed by the US Department of Health & Human Services, Office of Inspector General, Office of Audit Services (HHS-OIG-OAS) since 1985. She began her career with HHS as an auditor in the Baton Rouge Field Office and transferred to the Dallas Regional Office and joined the Advanced Audit Techniques Staff (AATS) in 1990. She served as Regional Statistical Sampling Specialist while part of the AATS from 1996 through 2012 and then became the HHS-OIG-OAS National Statistical Specialist in 2012. She has been one of three instructors of the OAS National Statistical Training Courses since 2000 and Lead Instructor since 2005. She has a Bachelor of Science in Accounting and Associate of Applied Science in Computer Science from Southeastern Louisiana University (SELU).

What You Need to Know about Auditing Standards
Presented by:
Dan Bonnette, Partner, RSM

Dan is a partner at RSM and is a firm-designated government, higher education, and not-for-profit specialist with more than 28 years of experience. Dan serves as the Government, Higher Education, and Not-for-Profit Industry Leader for the New England market. He has managed the audits of numerous higher education, not-for-profit, and public sector organizations, including many with international operations, and has provided technical assistance on accounting and financial reporting issues to these organizations. Dan is also an expert in Single Audits performed in accordance with the Uniform Grant Guidance (previously OMB Circular A-133) and has performed hundreds of audits pursuant to those standards. Prior to joining RSM 8 years ago, Dan spent 18 years at Deloitte & Touche, LLP where, in addition to his client service responsibilities, he served as the National Learning Leader for the Deloitte's Public Sector Practices, responsible for developing the training curriculum for all public sector professionals nationally.

Friday, November 9, 2018

Managing Up
Presented by:
Eric Gregory, Training and Workforce Development Division
U.S. Department of Homeland Security, Office of Inspector General

Eric Gregory is an instructor and learning facilitator for the Department of Homeland Security Office of the Inspector General. Eric started his government career serving two years in the U. S. Army. He also served six years as a Navy civilian, where he was a Contracting Officer. Eric has a Bachelor's Degree in Advertising and a Master's degree in Business Administration. Before his government service he was a butcher, baritone, and bookkeeper. Eric has been instructing leadership, followership, and soft skills for the past 10 years. He is a certified instructor of MBTI and EQi (emotional intelligence.) He is also a coach and a mentor and his main purpose in life is to develop people and organizations. In his free time Eric enjoys hiking, music, and table tennis. He says he has the best job in the world!

Cyber Security
Presented by:
Rick Gamache, Senior Consultant, BerryDunn

Rick Gamache is a Senior Cyber Security Consultant with the accounting firm BerryDunn headquartered in Portland, Maine. Rick is a veteran of the United States Coast Guard, serving as a Telecommunications Officer in varying roles from communications planning, cyber security, and intelligence. After leaving military service, Rick performed risk assessments and FISMA audits for the U.S. Navy's Destroyer program as a Fully Qualified Navy Validator. His work includes evaluating the security controls of some of the nation's most sensitive computing systems and platforms. As an entrepreneur, Rick helped found Wapack Labs where he served as Partner and Director of Cyber Threat Intelligence, growing the business to a six-million dollar company. As an accomplished author, Rick has written several publically available risk assessment reports with an emphasis on the Nordic nations of Iceland and Sweden. Additional works include Russia's annexation of Crimea through the use of cyber-attacks, the risks of outsourcing financial supply chains to India, and other topics of strategic importance. Rick is a freelance writer for Security Affairs Magazine, and has spoken on cyber security related topics in both the United States and Europe.

We appreciate the speakers participation in the _New England Intergovernmental Audit Forum_