

**Mountain and Plains Intergovernmental Audit Forum
Colorado Springs, CO
September 1-2, 2015**

MPIAF Learning Objectives

"CREDIBILITY, CHOICES, AND CHANGE" - The Honorable John Roth

- Credibility—professional standards, independence, ethics
- Choices—annual performance planning, risk-based, flexible, nontraditional where appropriate
- Change--Audit reports; Management alerts; Verifications reviews; Inspections; Blended teams of investigators/auditors, auditors/inspectors

"PANEL SESSION: INFORMATION TECHNOLOGY AUDITING, CRITERIA, AND TOOLS"

Matt Devlin

- Role and mission of the Colorado Office of the State Auditor
- Types of audits performed
- Office of the State Auditor's IT audit function
- Other IT and Information Security Organizations in the State of Colorado

Rhonda Plantenga

- Learn about BKD and its role as a public accounting firm

Angela Thorpe

- Mission for Cybersecurity at the Air Force Academy
- Cybersecurity perspective on auditing and compliance
- Innovations and Cybersecurity Challenges

"GAO'S HIGH RISK LIST: THE WHO, THE HOW, AND THE WHY" – Bill Reinsberg

- Why was the High Risk Program needed and what is it
- What are the Benefits of the Program

**"PROFESSIONAL AND PERSONAL INTEGRITY – DO THEY MATTER TO AUDITORS?" -
Brigadier General Malham Wakin**

- What do we mean when we say "I can't do that?"
- What is professional integrity?
- Does the auditor have analogous codes to support professional practices?

“DAMAGE CONTROL IN A HACK CRAZED WORLD” - David Ashley

- History of Data Breaches
- Law of the Land
- Shiny New Things / New Technologies (Criminals at the Gates)
- Current Threat Landscape
- Planning for a Hack Attack
- IT Security Breach DR Best Practices
- Questions Management Should Ask

“LAW ENFORCEMENT PERFORMANCE AUDITING: DPD POLICE RESPONSE AND DSD JAIL OPERATIONS” - Marcus Garrett, Rudy Lopez, Kelsey Yamasaki

- Growing interest in law enforcement transparency and accountability
- Role and oversight responsibility of auditors in the area of law enforcement and criminal justice
- Integrating traditional auditing and data analysis

“HOW FUTURIST THINKING CAN HELP AUDITING” - Sam S. Atkinson

- Changing Technology, Changing Ways
- Changing Issues, Changing Audits
- Changing Threats, Changing Security
- Audit Impact on Policy, Laws, & Regulations

“CYBERSECURITY” – Dawn Roth Lindell

- To share current cyber security threat vectors, vulnerabilities that are frequently exploited, and mitigation strategies to improve cyber security.

“GAO’S GREEN BOOK AND COSO STANDARDS” - Eric H. Holbrook

- Demonstrate a fundamental understanding of internal control based on the 2014 Green Book.
- Describe the five components of internal control and the principles and critical concepts for each component.
- Apply internal control concepts to the audit engagement process using the Green Book.

“WORKING WELL WITH AUDITEES: STRATEGIES FOR EFFECTIVE COMMUNICATION” - Jenny Atchley, Nina Frant

- Maintain productive auditee relationships
- Communicate effectively with agencies throughout the audit process
- Teach newer audit staff these skills

“REGIONAL ECONOMIC OUTLOOK” – Dr. Martin Shields

- Recent Economic Trends
- Emerging Issues
- Oil Price Impact, Unemployment, Interest Rates and Housing

“GAO’S FRAUD RISK MANAGEMENT FRAMEWORK” – Steve Lord, Linda Miller

- Why is Fraud Risk Important
- Development of the GAO Framework
- How to Assess Fraud Risk

“HOW TO BUILD A TEAM THAT WORKS WITHOUT YOU” - Bryan J. Dodge

- Understand the most important element in creating Loyalty
- Learn keys to proper self-talk to build self esteem and self worth
- Apply the 9 keys that build trust
- Be skilled at creating chemistry