



New England Intergovernmental Audit Forum (NEIAF)

November 20-21, 2019

Westin Portland Harborview

157 High Street | Portland, ME 04101

FINAL AGENDA

WEDNESDAY, NOVEMBER 20

7:30 – 8:30a	REGISTRATION AND CONTINENTAL BREAKFAST (Eastland Grand Ballroom)
8:30 – 8:45a	WELCOME AND OPENING REMARKS Maureen Duddy , Chair, NEIAF; Deputy Assistant Inspector General for Audit, U.S. Department of Homeland Security, OIG
8:45 -10:00a	ELDER ABUSE ROUNDTABLE This session will highlight recent audit reports from HHS, HUD and GAO on issues related to Elder Justice, Health and Safety, and Nursing Homes. Stephen J. Conway , Director, U.S. Department of Health and Human Services, OIG Gretta L. Goodwin , Director, Homeland Security and Justice, U.S. Government Accountability Office Colin Sullivan , Senior Auditor, U.S. Department of Housing and Urban Development, OIG
10:00 – 10:10a	NETWORKING BREAK
10:10 – 11:40a	NO MORE TRAIN WRECKS This session will identify ways that supervisors can lead the writing process so that their staff can more efficiently deliver higher-quality draft written products. Colin J. Fallon , Senior Communications Analyst, Forensic Audits and Investigative Service, U.S. Government Accountability Office
11:40 – 12:40p	NETWORKING LUNCH
12:40 – 1:30p	INSPECTOR GENERAL COMMUNITY UPDATES This session will share insight perspectives on oversight topics that the OIG community is paying attention to, including cross-cutting initiatives, DATA Act, IG Empowerment Act, enterprise risk management and internal controls, data analytics, information technology security, and financial statement audit. Brett M. Baker , Assistant Inspector General for Audit, U.S. Nuclear Regulatory Commission, OIG
1:30 – 1:40p	NETWORKING BREAK
1:40 – 2:30p	POTENTIAL TO IMPROVE GRANTS MANAGEMENT USING BLOCKCHAIN TECHNOLOGY This session will provide an overview of the current state of grants management and the key challenges faced by grant making and grant recipient entities. Participants will also gain an understanding of how grants management has the potential to be improved for grant making and grant recipient entities by implementing changes to the current business operating model and leveraging blockchain (distributed ledger) technology. Jasmine A. Faubert , Government Administration Portfolio Manager, The MITRE Corporation Karen Lee , Portfolio Director, The MITRE Corporation
2:30 – 2:40p	NETWORKING BREAK
2:40 – 3:30p	MANAGING UP PART 2: THE STORY OF SISYPHUS – THE WORK IS NEVER DONE This session will help participants to identify a followership challenge and the impact on a team. Participants will also learn about various followership tools and how to apply these tools to a challenge. Eric Gregory , Learning Facilitator, U.S. Department of Homeland Security, OIG
3:30 – 4:30p	FREDERICK A. BEEBE NETWORKING RECEPTION (Eastland Grand Ballroom Foyer)

THURSDAY, NOVEMBER 21

7:45 – 8:30a	REGISTRATION AND NETWORKING BREAKFAST (Eastland Grand Ballroom)
8:30 – 8:35a	OPENING REMARKS Maureen Duddy , Chair, NEIAF; Deputy Assistant Inspector General for Audit, U.S. Department of Homeland Security, OIG
8:35 – 9:25a	UPDATE ON GOVERNMENT AUDITING STANDARDS (YELLOW BOOK) This session will identify key changes related to independence and auditor financial statement preparation. Participants will also learn about the new impact on internal control standards for performance audits and how fraud, waste and abuse will be audited. Michael Bingham , Senior Auditor, Financial Management and Assurance, U.S. Government Accountability Office
9:25 – 9:35a	NETWORKING BREAK
9:35 – 10:50a	DEVELOPING FORENSIC INVESTIGATIVE CAPACITY FOR AUDIT AGENCIES This session will help participants understand how to identify, train, and utilize investigators employed with audit organizations. Wayne A. McElrath , CEO, XGE Consulting
10:50 – 11:00a	NETWORKING BREAK
11:00 – 11:50a	RISK ASSESSMENT AND AUDIT PLANNING What does Risk Management or Risk Assessment even mean these days? So many definitions and terms exist, that current approaches have little consistency and are difficult to benchmark or implement. This session will focus on common models and frameworks, leading practice implementations of risk assessments, and how to truly integrate risk assessments into management decisions, risk management functions, and internal audit activities and planning procedures. James P. Kreiser , Principal - Risk Management & Specialized Advisory Services, CliftonLarsonAllen
11:50 – 12:00p	CLOSING REMARKS Maureen Duddy , Chair, NEIAF; Deputy Assistant Inspector General for Audit, U.S. Department of Homeland Security, OIG

We appreciate your participation in the New England Intergovernmental Audit Forum