



Mid Atlantic Audit Forum Hurricane Sandy Disaster

December 4 – 6, 2013

Nassau County, NY



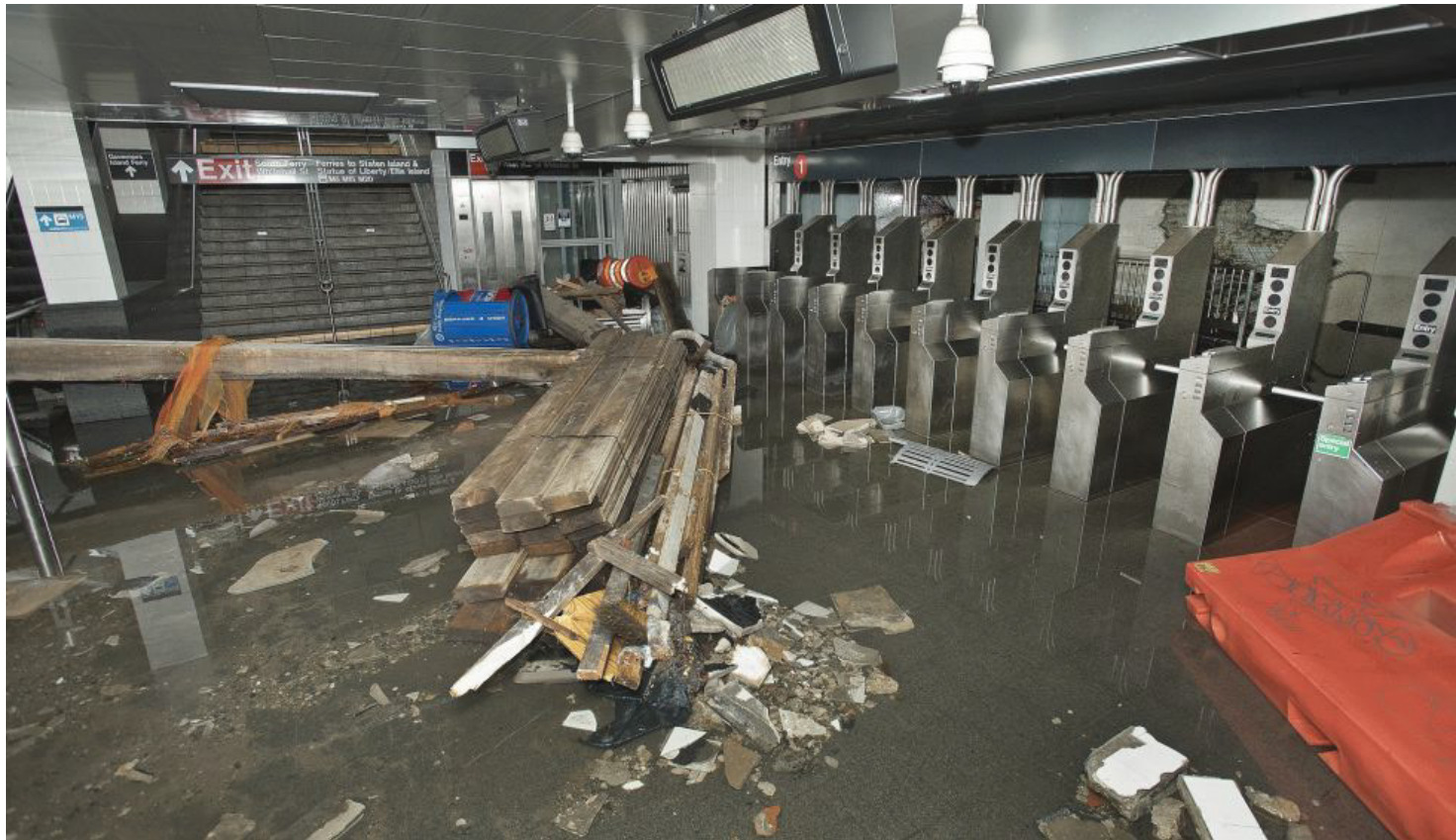
Westchester County, NY



Suffolk County, NY



New York County



Rockland County, NY



Kings County



Bronx County



Queens County



Richmond County



Background

- Hurricane Sandy impacted the Northeast in late October 2012
- HUD received \$16 billion for FY 2010, 2011, 2012 and 2013 disaster assistance
- Congress for the first time put a time limit on obligation and disbursement of funding



First Round of Awards

- The six Hurricane Sandy impacted areas received two allocations totaling **\$10,401,000,000**

Grantee	Second Sandy Allocation (November)	First Sandy Allocation (February)	Total	LOCCS Authorized	Percent Budgeted Project Level	Percent Budgeted Activity Level
New York City	\$1,343,000,000	\$1,772,820,000	\$3,115,820,000	\$425,000,000	105%	72%
New Jersey	1,461,000,000	1,829,520,000	3,290,520,000	1,006,236,000	100%	100%
New York State	2,097,000,000	1,713,960,000	3,810,960,000	640,000,000	100%	72%
Connecticut	65,000,000	71,820,000	136,820,000	15,000,000	100%	5%
Maryland	19,000,000	8,640,000	27,640,000	4,900,000	90%	90%
Rhode Island	16,000,000	3,240,000	19,240,000	1,000,000	63%	63%
TOTAL	\$5,001,000,000	\$5,400,000,000	\$10,401,000,000	\$2,092,136,000	-	-



First Round of Awards Also Included

- 18 Non Hurricane Sandy impacted areas that received \$458,884,000 these areas included:
 - Joplin, MO \$113,276,000 (Tornado Disaster)
 - Minot, ND \$35,056,000 (Flooding Disaster)



HUD OIG's Actions Included

- HUD's Office of Block Grant Assistance, Disaster Recovery and Special Issues (DRSI) Division sponsored the CDBG Disaster Recovery (CDBG-DR) training in Newark, NJ March 18-20, 2013. The training included sessions on determining disaster recovery awards, an overview of CDBG disaster recovery, an introduction to the Disaster Recovery Grant Reporting (DRGR) system, assessing disaster recovery needs, action plan development, keys to effective grant administration, and environmental compliance. The training also included roundtable discussions so that grantees could learn from each other and panel presentations from grantees and HUD staff.
- The following web site contains the whole presentation (<https://www.onecpd.info/training-events/courses/cdbg-disaster-recovery-training-march-18-20-2013>)



HUD OIG's Actions Continued

- We held 3 planning meeting which included HUD staff, HUD OIG's Office of Investigations and Audit
- We assigned Regional Staff to specific states to even out the work assignments
- We set up an OIG SharePoint site to share and record information
- We prepared a HUD OIG Training program based on our prior disaster work



Funding Fiscal Years 2013 to 2001

- **FY 2013** - \$16 billion to assist recovery from **Hurricane Sandy**, and other disasters occurring in 2011, 2012, and 2013
- **FY 2012** - \$400 million to assist recovery from multiple disasters occurring in 2011
- **FY 2010** - \$100 million to assist recovery in areas affected by severe storms and flooding from March 2010 through May 2010
- **FY 2008** - \$6.1 billion to assist recovery from all 2008 disasters, including **Hurricanes Ike, Gustav, and Dolly**
- **FY 2008** - \$300 million to assist recovery from the Midwest floods
- **FY 2008** - \$3.0 billion to supplement the **Louisiana homeowners assistance program**
- **FY 2006** - \$16.7 billion to assist the victims of **Hurricanes Katrina, Rita, and Wilma**
- **FY 2005** - \$150 million to assist recovery from multiple disasters
- **FY 2002** - \$2.783 billion to assist **post-September 11th New York City's recovery efforts**
- **FY 2001** - \$700 million to assist **post-September 11th New York City's recovery efforts**



Funding Fiscal Years 1999 to 1993

- **FY 1999** - \$20 million to assist recovery from multiple disasters
- **FY 1998** - \$130 million to assist recovery from multiple disasters
- **FY 1997** - \$500 million to assist recovery from upper Midwest floods
- **FY 1996** - \$50 million to assist recovery from multiple disasters
- **FY 1995** - \$39 million to assist with recovery from the **Oklahoma City bombing**
- **FY 1994** - \$180 million to assist with recovery from Tropical Storm Alberto
- **FY 1994** - \$225 million for the **Northridge Earthquake**
- **FY 1994** - \$425 million for the recovery from the earthquake in Southern California and Midwest Floods
- **FY 1993** - \$85 million to assist with recovery from **Hurricanes Andrew, Iniki, and Typhoon Omar**



11 Areas of Concern Based on Prior Audit Work

1. Spending Deadlines
2. Contracting
3. Duplication of Benefits
4. Grantee Information Systems
5. Completion of Work
6. Admin. & Planning Funding Caps



Areas of Concern Based on Prior Audit Work Continued

7. Capacity of Grantees
8. Eligibility of Projects, focus on reimbursed projects
9. Payment of Pre-grant Costs & Contracts
10. Differing requirements
11. Materiality & Significance
12. Proposed Changes for the Stafford Act (allow OIG Matching)



Questions and Comments

