



New York/New Jersey Intergovernmental Audit Forum Meeting

May 4, 2016

Newman Conference Center - Baruch College

Presenters' Biographical Information

Morning Session 1: Water Safety

Mark Gaffigan is the Managing Director for the United States Government Accountability Office's (GAO) Natural Resources and Environment team based in Washington, DC. The GAO is an independent, nonpartisan agency that works for Congress and the American people by evaluating and auditing the programs and expenditures of the U.S. government. The Natural Resources and Environment team is responsible for GAO's assessments of federal efforts to manage our nation's land and water resources, protect the environment, ensure food safety, manage agricultural programs, ensure a reliable and environmentally sound energy supply, meet the nation's science challenges and address U.S. and international nuclear security and cleanup. Mr. Gaffigan began his career with GAO in 1987 and has worked on a variety of reviews of federal programs with an emphasis on budget and program reviews of the U.S. Department of Energy and Nuclear Regulatory Commission. Mr. Gaffigan earned a B.A. in Economics and a M.A. in Public Administration from the University of Illinois. He has also passed the Certified Public Accountant exam in the state of Maryland where he resides with his family.

Morning Panel: Data Analytics

Cheryl Pahaham currently serves as the Director of Planning and Product Development in the Office of the State Comptroller's Division of State Government Accountability. Dr. Pahaham is responsible for leading a team that conducts research, analysis and audit planning and produces special reports highlighting the audit work of the Division and connecting the audit findings to management practices and policy. She previously served as Chief Municipal Financial Analyst in the Office of the State Deputy Comptroller, which provides oversight of New York City's financial plan. In that position, she monitored the policies and budgets of New York City agencies, including the Department of Education, the Health and Hospitals Corporation, and the Human Resources Administration, and produced quarterly New York City financial plan reviews, special reports and management briefing memos. Dr. Pahaham holds a doctorate in Sociology from the New School for Social Research in New York City.

Aida Solomon is an Audit Supervisor in the Planning and Product Development Unit, Division of State Government Accountability, in the New York Office of the State Comptroller. The Unit is responsible for researching potential audit areas, preparing the Division's annual audit plan and completing reports that highlight the work of the Division. Ms. Solomon also supervises audits, most recently in the area of affordable housing, and has also audited various agencies such as the NYC Transit Authority, Metro-North Railroad, the N.Y. State Division of Housing and Community Renewal and the various economic development agencies. Previously, Ms. Solomon spent more than 15 years conducting audits of the N.Y. State Insurance Fund, where she was responsible for review and approval of administrative expenses including payments of contract, vendor invoices and travel expenses, and also audited workers' compensation and medical payments. She is a Certified Public Accountant.

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(Data Analytics Panel, cont'd)

Ken Feinstein is a Senior Manager in Forensic Technology within the Fraud Investigations and Dispute Services practice at Ernst & Young, specializing in forensic technology and data analytics. Mr. Feinstein oversees a wide range of forensic data analytics engagements across multiple sectors, including Retail & Consumer Products, Aerospace & Defense, Life Sciences and Industrial Products. Mr. Feinstein has extensive experience utilizing tools and methodologies to deliver valuable data analytics for large, complex investigations, proactive anti-fraud efforts and compliance programs (e.g. Conflict Minerals, FCPA). He has specific experience using forensic technology and fraud detection analytics, including data visualization and text analytics, designed to identify key risks, develop and execute automated test plans to help clients mitigate risks and address compliance concerns. Mr. Feinstein earned his B.S. in Accounting from Penn State University and is a Certified Fraud Examiner.

Luncheon Keynote

Eric Warner has been the General Counsel of the Office of the Metropolitan Transportation Authority Inspector General since January 2008. The Office of the Inspector General was created in 1983 to provide independent oversight of the operations of the MTA, a New York State Public Authority, and its constituent agencies, consisting of New York City Transit, Long Island Rail Road, Metro-North Railroad, the MTA Bus Company, the MTA Capital Construction Company, and MTA Bridges and Tunnels. Immediately prior to joining the IG's office, Mr. Warner headed the Litigation Group at the MTA Headquarters Legal Department.

Mr. Warner has spent virtually his entire career in public service, including a 30-year stint in the Office of the Bronx District Attorney, where he served as a trial prosecutor, a bureau chief, and the Senior Executive Assistant District Attorney. Among the cases in which he served as lead prosecutor, were those involving the sexual assault of six young children at a daycare center and an arson homicide at a social club that killed 87 people, the largest mass murder in the United States at the time. Mr. Warner is also the author of a practitioner's handbook on the New York State Juvenile Offender Law, which he has updated annually since its publication in 1995.

Mr. Warner received his B.A. from Hobart College; his J.D. from Albany Law School; and his LL.M in Trade Regulations from NYU Law School.

Afternoon Session: Procurement Fraud

Tom Caulfield is the Co-Founder and Chief Operating Officer of Procurement Integrity Consulting Services LLC, a company committed to helping industry and governments in safeguarding against unnecessary financial losses and reputational damages related to procurement fraud and abuse. Previous positions included the Executive Director for the Training Institute of the Council of the Inspectors General on Integrity and Efficiency (CIGIE) and over 38 years of Government service, including the U.S. Marine Corps, the U.S. Air Force, the National Reconnaissance Office, and the Central Intelligence Agency. He also served as a member of the Department of Justice National Procurement Fraud Task-Force. Mr. Caulfield is a frequent speaker for the Association of Certified Fraud Examiners, the National Contract Management Association, the Association of Government Accountants, the Institute of Internal Auditors, and many other professional associations. He has been an instructor for Central Texas College, the Inspector General Audit Training Institute, and the Federal Law Enforcement Training Center. He is a graduate of Wayland Baptist University and St. Leo College, where he received degrees in both criminology and criminal justice. He is a Certified Fraud Examiner, Certified Inspector General, and Certified Inspector General for Investigation.