

FINAL AGENDA

Wednesday, September 2

Location: *Junior Ballroom*

12:15 - 1:00 **REGISTRATION**
Location: *Junior Ballroom foyer*

1:00 - 1:30 **Welcome and Announcements**
Tim Schindler, Chair, PNI AF; Assistant Director/Field Office Manager, U.S. Government
Accountability Office

Opening Remarks
Elaine Howle, California State Auditor

1:30 – 2:20 **High Risk Program**
Elaine Howle, California State Auditor

Moderator: Tim Schindler, Chair, PNI AF; Assistant Director/Field Office Manager, U.S. Government
Accountability Office

Identifying and addressing high-risk issues in California government can contribute to enhanced efficiency and effectiveness by focusing the resources on improving the delivery of services related to important programs or functions. For nearly 10 years, the California State Auditor has been identifying issues or agencies that they believe to be of high risk for their potential of waste, fraud, abuse, or mismanagement or that have major challenges associated with their economy, efficiency, or effectiveness. The Legislature extended this authority—although with some differences—to include local government agencies. The California State Auditor will discuss her office's State high risk program and the recently implemented high-risk local government agency program.

2:20 – 2:30 **Networking and Break**

2:30 – 3:35 **Assessing Information Technology Risk**
Troy Niemeyer, Deputy Director of State Audit, Washington State Auditor's Office
Susan Hoffman, Principal, Performance Audit, Washington State Auditor's Office
Michelle Baur, Principal Auditor, California State Auditor's Office

Moderator: Barb Hinton, Deputy Director, Washington State Auditor's Office

In this session, Washington will share their approaches to assessing IT risk and deciding where to focus their IT audit work. California will discuss IT issues regarding oversight and general controls.

3:35 – 3:50 **Networking and Break**

3:50 – 5:00 **What Primary Audience and Stakeholders Need from Audit Reports**
David Campos, Supervisor, Board of Supervisors, City and County of San Francisco
Paul Navarro, Chief of Legislative Affairs, California State Auditor's Office

Moderator: Tonia Lediju, Director of City Audits, City Services Auditor Division, Office of the Controller, City and County of San Francisco

Discussing approaches and perspectives of stakeholders in using audit reports to influence public policy decisions. In addition, panelist will provide examples of how audit reports have informed their decision-making process. Participants will gain insight on how audit reports can be utilized to promote government accountability and efficiency.

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5:00 – 6:30 **Reception**
 Location: *Skyline*

Thursday, September 3
 Location: *Junior Ballroom*

7:15 - 8:00 **Continental Breakfast**
 Location: *Junior Ballroom foyer*

8:00 – 8:50 **Staying Engaged, Resilient, And On Purpose**
 Steve Sisgold, Author, Speaker, Trainer, and Executive Coach, Whole Body Intelligence

Moderator: Eduardo Luna, San Diego City Auditor

This presentation teaches how to catch and change unconscious destructive habits and beliefs that create stress and sabotage performance. Participants will learn hands-on energy boosting and work/life balance techniques to prevent burn out and produce more innovation and creativity.

8:50 – 9:10 **Networking and Break**

9:10 – 10:00 **Social Media & Content Marketing Basics**
 Melissa Feineman Suzuno, Content Marketing Manager and Consultant

Moderator: Margarita Fernández, Chief of Public Affairs, California State Auditor’s Office

This presentation will focus on the topic of social media and content marketing and how you can use various platforms to achieve goals, such as increasing visibility, marketing your offices to stakeholders, impacting policy, and recruiting applicants.

10:00 – 10:15 **Networking and Break**

10:15 – 11:35

Concurrent Sessions Based on Experience Level

<p>0-3 Year Auditors Location: <i>Grand Ballroom (Salon DE)</i></p> <p>Moderator: Emily Upstill, Analyst, U.S. Government Accountability Office</p>	<p>4+ Year Auditors Location: <i>Junior Ballroom</i></p> <p>Moderator: Mark de la Rosa, Lead Audit Manager, Office of the Controller – City Services Auditor, City and County of San Francisco</p>	<p>Managers (Last Name A-K) Location: <i>Grand Ballroom (Salon ABC)</i></p> <p>Moderator: Eduardo Luna, San Diego City Auditor</p>	<p>Managers (Last Name L-Z) Location: <i>Grand Ballroom (Salon FGH)</i></p> <p>Moderator: Lacy Vong, Assistant Director/Field Office Manager, U.S. Government Accountability Office</p>
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11:35 – 12:40 **Lunch Buffet**
 Location: *Junior Ballroom foyer*

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12:40 – 1:00 **Debrief from Concurrent Sessions Based on Experience Level**

1:00 – 2:00

Dare to Imagine: Standards for An Auditor’s Workspace in the 21st Century

Tim Schindler, Assistant Director/Field Office Manager, U.S. Government Accountability Office

Margarita Fernández, Chief of Public Affairs, California State Auditor’s Office

Tonia Lediju, Director of City Audits, City Services Auditor Division, Office of the Controller, City and County of San Francisco

Moderator: Keenan Konopaski, Legislative Auditor, Washington State Joint Legislative Audit & Review Committee

Office workspace/office layout can inspire creativity, motivate individuals, create a sense of place, and express individuality. What type of office workspace is right for our auditors? Hoteling? Open floor plans with lots of common space and natural light? Cubicles or clusters of pods with low partitions (or none)? Different set-ups are supposed to achieve different purposes—what are the pros and cons of different layouts and what do staff think about their workspace?

2:00 – 2:10

Networking and Break

2:10 – 3:25

Data Mining and Data Analytics

Joah Iannotta, Assistant Director, Forensic Audits and Investigative Service, U.S. Government Accountability Office

Jamie Ralls, Principal Auditor, Audits Division, Oregon Secretary of State

Annamarie McNeil, Senior Management Auditor, Multnomah County, Oregon Auditor’s Office

Moderator: Jon Ling, Audit Manager, Office of Inspector General, U.S. Department of Labor

This panel discussion will focus on the use and importance of data analytics and data sharing in today’s audit environment. Panelists will share examples on how these tools are used in audits.

3:25 – 3:40

Networking and Break

3:40 – 5:00

Concurrent Sessions Based on Type of Audit / Principals’ Meeting

<p>Performance Audit (Last Name A-K) Location: Grand Ballroom (Salon ABC)</p> <p>Moderator: Claudette Biemeret, Auditor Manager, City of Berkeley</p>	<p>Performance Audit (Last Name L-Z) Location: Grand Ballroom (Salon FGH)</p> <p>Moderator: Steve Flaherty, Audit Manager, Office of the Controller – City Services Auditor, City and County of San Francisco</p>	<p>IT/Financial/ Compliance Audit Location: Junior Ballroom</p> <p>Moderator: Troy Niemeyer, Deputy Director of State Audit, Washington State Auditor’s Office</p>	<p>Principals’ Meeting Location: Grand Ballroom (Salon DE)</p> <p>Moderators: John Provan, Chair, WIAF; Assistant Regional Audit Manager, Office of Inspector General, U.S. Department of Justice; and Tim Schindler, Chair, PNI AF; Assistant Director/Field Office Manager, U.S. Government Accountability Office</p> <p><i>*A principal is the head of a governmental organization officially responsible for the conduct of audits.*</i></p>
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FINAL AGENDA

Friday, September 4

Location: *Junior Ballroom*

7:15 – 8:00 **Continental Breakfast**
Location: *Junior Ballroom foyer*

8:00 – 8:50 **Auditing and Accountability: Oakland Police Department's Experience**
Kristin Burgess-Medeiros, Police Program and Performance Auditor, Oakland Police Department

Moderator: Ray Hendren, Regional Inspector General of Audits, U.S. Department of Education

This presentation will provide a history of the Oakland Police Department's (OPD) Negotiated Settlement Agreement, which changed the way OPD does business (increased accountability and transparency). Also, there will be a discussion on different approaches to audits, given OPD's limited staffing resources.

8:50 – 9:00 **Networking and Break**

9:00 – 9:50 **Using the Digital Accountability and Transparency Act (DATA Act) to Enhance Transparency and Foster Accountability**
J. Christopher Mihm, Managing Director, Strategic Issues, U.S. Government Accountability Office

Moderator: David Sheppard, Inspector General for the Denali Commission; Regional Inspector General, U.S. Department of Commerce

The presentation will discuss the importance of the Digital Accountability and Transparency Act (DATA Act) for enhancing transparency and decision-making at all levels of government, and for the audit community. The discussion will focus on the status of early implementation and key challenges that have been identified. The intergovernmental audit community has a central role both in overseeing implementation and as users of the resulting data to better target and inform audits.

9:50 – 10:00 **Networking and Break**

10:00 – 10:50 **How the Press Uses Audit Reports**
Matthew Artz, Reporter, Contra Costa Times/Oakland Tribune
Marisa Lagos, Reporter, California Politics & Government Desk, KQED News

Moderator: Doris Flores Brooks, Public Auditor, Office of Public Accountability, Guam

Getting the message out about the results of our audits are a must in ensuring they have impact. In this presentation, participants will get insight from the press as to how they use the work of the auditors, which will help auditors in writing reports that are easy to digest so that the right message gets out.

10:50 – 11:00 **Networking and Break**

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11:00 – 11:50

Fraud Risk Management Framework

Stephen Lord, Managing Director, Forensic Audits and Investigative Service, U.S. Government Accountability Office

Erin McLaughlin, Senior Analyst, Forensic Audits and Investigative Service, U.S. Government Accountability Office

Moderator: Bonnie Hall, Assistant Director/Field Office Manager, U.S. Government Accountability Office

The purpose of this session is to provide an overview of GAO's new Fraud Risk Management Framework, which is a comprehensive set of leading practices for developing or enhancing a robust anti-fraud program. In addition to providing guidance to assist managers in developing a fraud risk management program, the Framework provides a basis for evaluating the effectiveness of those efforts by internal and external auditors. The presentation will discuss how program officials can implement the Framework and how it can be used by the auditing community to assess management's efforts.

11:50 – 12:00

Closing Remarks

John Provan, Chair, WIAF; Assistant Regional Audit Manager, Office of Inspector General, U.S. Department of Justice